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MICROMECHANICS OF INTERFACES IN METAL MATRIX COMPOSITES

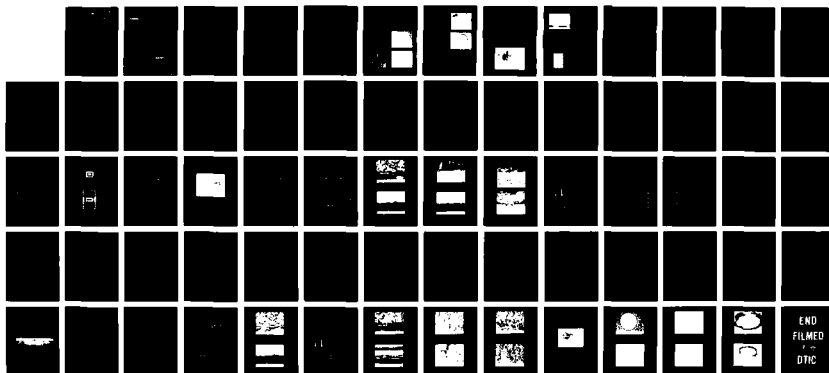
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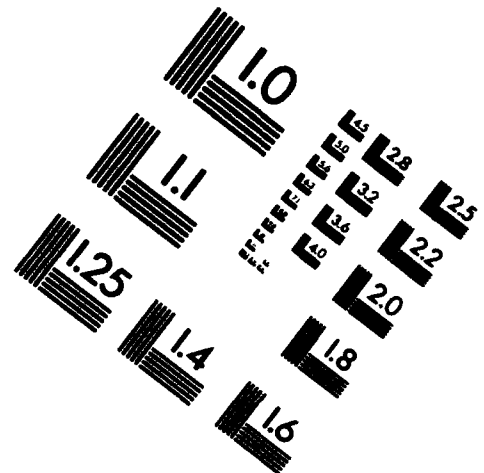
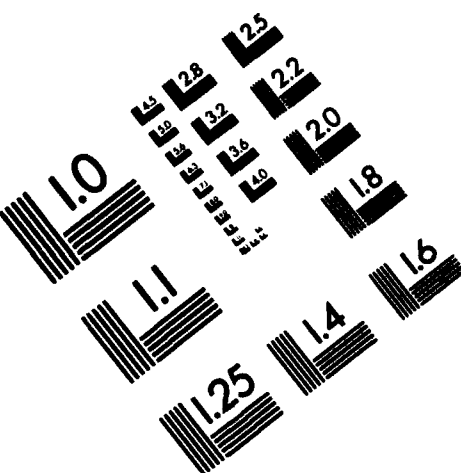


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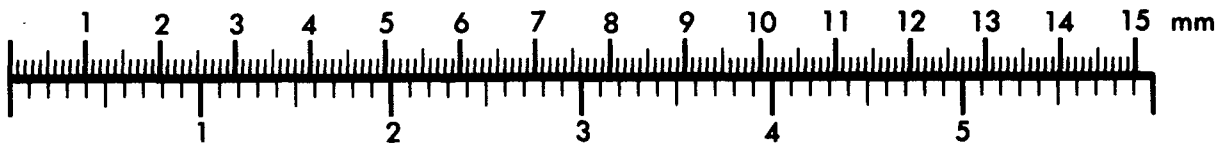
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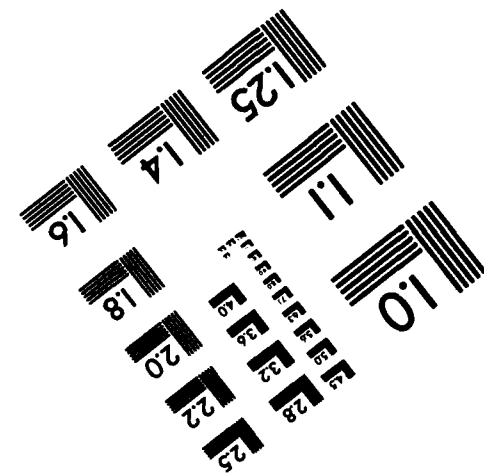
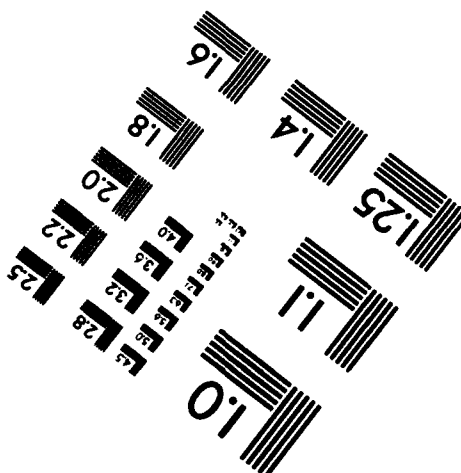
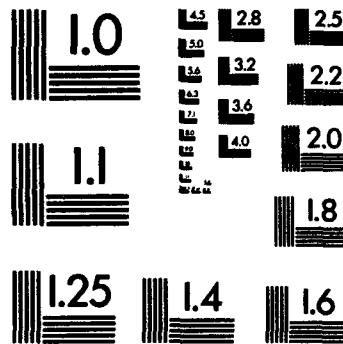
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**FINAL TECHNICAL REPORT**

July 12, 1994

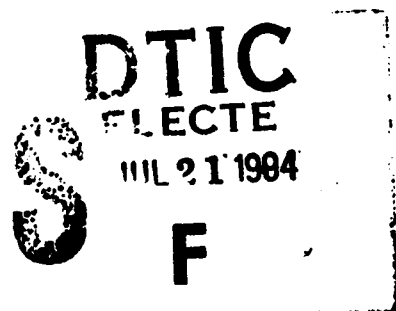
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## A. INTRODUCTION

As was stated in the original statement of work, the proposed work was to (i) evaluate the interfacial fracture toughness of  $\text{Ti}/\text{Al}_2\text{O}_3$  with and without a diffusion barrier, (ii) use various surface science techniques to evaluate these interfaces, (iii) explore the possibility of using fiber pushout techniques in  $\text{Al}_2\text{O}_3$  fiber-reinforced titanium alloy composite, and (iv) compare the data from four-point bend and microscratch tests to calibrate the interfacial fracture toughness in the  $\text{Ti}/\text{Al}_2\text{O}_3$  system.

These goals have been successfully met as outlined in the following three sections. In Part I, which has already been published, we demonstrate that interfacial shear strengths, as measured by fiber pushout, and fiber frictional characteristics, as measured by lateral force microscopy, can be utilized to understand the micromechanics of composite interfaces. This was the first successful attempt at pushing out  $10\text{ }\mu\text{m}$  sized fibers by nanoindentation. This was followed up by a combined study of microscratch toughness and four-point bending of pre-crack laminates in an attempt to validate the microscratch technique. This turned out to be very successful as outlined in Part II with a correlation of toughness which extended over three decades of thickness. The significance of this is that interfacial barrier coatings and their effect on interfacial fracture toughness may be more easily studied with thin film prototypes as a screening test. Finally, in Part III, the comparison of four-point bend and fiber pushout characterization of  $\text{Al}_2\text{O}_3/\text{Ti}$  couples with and without barrier coatings is accomplished. Effects of process control temperature on interfacial chemistries and their impact on interfacial fracture energies are documented.

# Interfacial stability and mechanical properties of $\text{Al}_2\text{O}_3$ fiber reinforced Ti matrix composites

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The mechanical properties of the interfaces in an  $\text{Al}_2\text{O}_3$  fiber reinforced  $\beta$ -21S Ti alloy have been evaluated by using fiber pushout tests. The  $\text{Al}_2\text{O}_3$  fibers were coated with a refractory metal and  $\text{Y}_2\text{O}_3$  which served as a diffusion barrier during the HIPing used to produce the metal matrix composites. By doing fiber pushout tests, the interfacial fracture was found to occur at the interface between the refractory metal and the  $\text{Y}_2\text{O}_3$ . The interfacial shear strength and interfacial frictional stress were measured to be 323 and  $312 \pm 2$  MPa, respectively. The interfacial frictional stress, which is due to asperity interlocking during the fiber sliding, was correlated to the surface roughness of the coated  $\text{Al}_2\text{O}_3$  fiber obtained with the aid of an atomic force microscope. The measured surface roughness of  $18.8 \pm 2.2$  nm was related to the frictional stress through Hutchinson's model.<sup>9</sup> The frictional coefficient between the  $\text{Al}_2\text{O}_3$  fiber and the Ti matrix is calculated to be  $0.32 \pm 0.02$ .

## I. INTRODUCTION

Continuous fiber reinforced Ti matrix composites have received attention in the aerospace industry as advanced structural materials because of their high specific strength, excellent corrosion resistance, and good high temperature thermal stability.<sup>1-3</sup> The macroscopic mechanical properties of these composites are controlled not only by the mechanical properties of the constituents but also by the adhesion strength of the fiber/matrix interfaces. Because the fiber bridging effect reduces the stress intensity at the crack tip during crack propagation in composites, the interfacial mechanical properties, such as interfacial shear strength and interfacial frictional stress, have a dominant effect on the overall fracture toughness of the composites.<sup>4,5</sup> To measure the mechanical properties of the interfaces, fiber pushout tests have been widely used.<sup>6-8</sup> This method is relatively simple compared to the fiber pullout test and provides a simulation of the interfacial debonding and sliding between a fiber and the matrix that takes place in composites fracturing under mode I loading.

By doing the fiber pushout tests, the interfacial shear strength and interfacial frictional stress were obtained. Microstructural observation and chemical analysis of the composite after the pushout tests were performed by using a scanning electron microscope and x-ray mapping. This study also focuses on the evaluation of the surface roughness of the coated  $\text{Al}_2\text{O}_3$  fibers by using an atomic force microscope (AFM). By using the measured surface roughness and fiber pushout test results in Hutchinson's model,<sup>9</sup> the friction coefficient

can be calculated. As far as we know, this represents the first study of frictional effects based upon the pushout test of such very small diameter fibers (10  $\mu\text{m}$ ).

## II. EXPERIMENTAL PROCEDURES

### A. Instruments

#### 1. The capacity of the continuous microindentation equipment

All of these experiments were performed by using a load and depth sensing indentation instrument with sensitivities in the nanoscale range. The indenter vertical displacement is controlled by using a stepper motor for coarse displacement on the order of microns. The fine displacement on the order of nanometers is controlled using a piezoelectric transducer (PZT). The PZT can control the indenter with a depth resolution of 0.5 nm, while the load cell has a capacity of 0.9 N and a load resolution of 16  $\mu\text{N}$ .

#### 2. Atomic force microscope

In the AFM studies, a Digital Nanoscope III System was used to perform the measurement of the surface roughness on the refractory metal/ $\text{Y}_2\text{O}_3$  coated fiber in air. A force sensor, consisting of a  $\text{Si}_3\text{N}_4$  cantilever with radius of curvature about 40 nm, produced lateral and vertical resolutions of 2 nm and 1 Å, respectively. Each image consists of 256 lines of 256 points.

## B. Fiber pushout tests

### 1. Sample preparation

The composites used in this test were provided by 3M Company. For the reinforcement,  $10\ \mu\text{m}$   $\text{Al}_2\text{O}_3$  fibers were made from a sol-gel process (purity 99.5%). The fibers were coated with a refractory metal and  $\text{Y}_2\text{O}_3$  duplex coating, the nature of which is proprietary, to reduce the chemical reaction between the fiber and the matrix. The composite was prepared by using hot isostatic press diffusion bonding of the fibers and  $\beta$ -21S Ti alloy at  $900\ ^\circ\text{C}$ .

A sample with thickness of around  $0.3\ \text{mm}$  was cut perpendicular to the  $\text{Al}_2\text{O}_3$  fibers by using a low speed diamond saw. This was thinned down to  $100\ \mu\text{m}$  by sanding followed by polishing with  $1.0\ \mu\text{m}$  diamond paste. A final thinning and polishing was performed using a dimpler with a sample thickness monitor. The thickness of the specimen for the fiber pushout test was  $30 \pm 0.5\ \mu\text{m}$ . This was measured accurately by using a scanning electron microscope. The specimen was set on a supporting block made of Al 2024 alloy with a slot  $50\ \mu\text{m}$  deep and  $200\ \mu\text{m}$  wide. This slot allows the pushed-out fibers to be traction-free at the pushout end. A thin layer of wax was carefully coated at the edge of the slot to fix the specimens for the tests. The specimen was set carefully to make sure that the thinned area was sitting on the top of the slot.

### 2. Testing procedures

The schematic diagram of the setup for the fiber pushout tests is shown in Fig. 1. The fiber pushout tests were performed by driving a flat tip indenter into the fiber. During the test, the load-displacement curve was recorded continuously by using an IBM

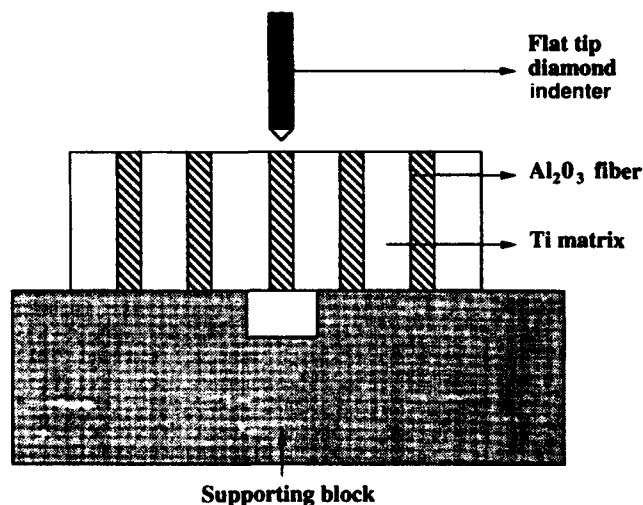


FIG. 1. Schematic diagram of the setup for the fiber pushout tests.

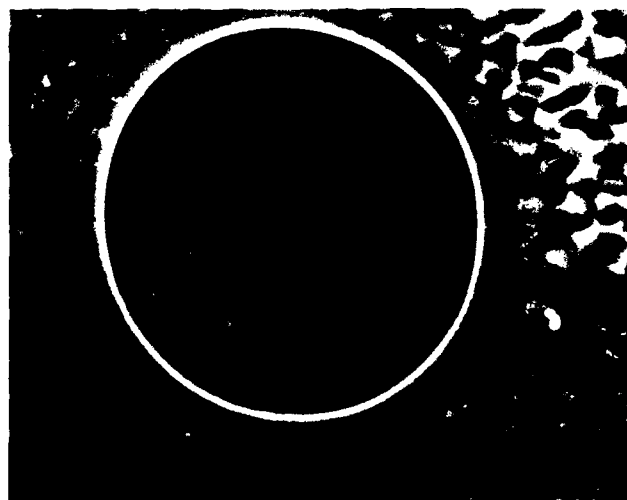
personal computer and a chart recorder. When the displacement of the fiber was about  $3\ \mu\text{m}$ , the indenter was unloaded. The load-displacement data were used to calculate the interfacial shear strength and interfacial frictional stress. Scanning electron microscopy and x-ray mapping were performed to analyze the fracture surface after the pushout test.

## III. RESULTS AND DISCUSSION

### A. Fiber pushout tests

#### 1. Observation and analysis of interfacial failure

A SEM micrograph of the  $\text{Al}_2\text{O}_3$  fiber reinforced Ti matrix composite is shown in Fig. 2(a), and the x-ray mapping of this composite is shown in Fig. 2(b). It is seen that some Al has diffused across the  $\text{Y}_2\text{O}_3$



(a)



(b)

FIG. 2. SEM micrograph and x-ray mapping of the fiber composite. (a) SEI image. (b) Aluminum x-ray mapping.

and the refractory metal diffusion barrier and into the  $\beta$ -21S Ti matrix to a distance of about 0.7  $\mu\text{m}$ . The purpose of the diffusion barrier is to prevent the diffusion of Al into Ti during the HIPing process. It will form a Ti<sub>3</sub>Al intermetallic compound that will degrade the fracture toughness of the composite.<sup>10</sup> The effect of the diffusion barrier is exhibited by noting that under similar processing conditions the diffusion distance of Al into Ti without the diffusion barrier is about 5  $\mu\text{m}$ .<sup>10</sup> The load-displacement curve of a fiber pushout test is shown in Fig. 3. At first, the load increased with increasing displacement. This corresponds to the initial elastic loading. There is a load drop at 0.3 N, which means that the initial debonding between the fiber and the matrix occurred. Then, debonding and fiber sliding continued concurrently to a fiber sliding distance of 1  $\mu\text{m}$ , which marked the end of debonding. After the fiber had slid another 1.5  $\mu\text{m}$ , the unloading stage was initiated. A fiber after the pushout test is shown in Fig. 4. From x-ray mapping, it is clear that the interfacial fracture process occurred between the refractory metal and the Y<sub>2</sub>O<sub>3</sub> interface. Parallel experiments using the same Al<sub>2</sub>O<sub>3</sub> fiber reinforced Ti composite in a tensile test show some fiber pullout. The x-ray mapping of those fibers confirms that interfacial fracture also occurred at the interface between the refractory metal and the Y<sub>2</sub>O<sub>3</sub>. This gives additional support for the use of the fiber pushout test as a simulation of the interfacial debonding and sliding event that happens in fiber composites under tensile loading.<sup>11,12</sup>

## 2. Calculation of interfacial adhesion strength

The interfacial shear strength ( $\tau_i$ ) and interfacial frictional stress ( $\tau$ ) were calculated as follows:

$$\tau_i = \frac{P_{cr}}{2\pi R t} \quad (1)$$

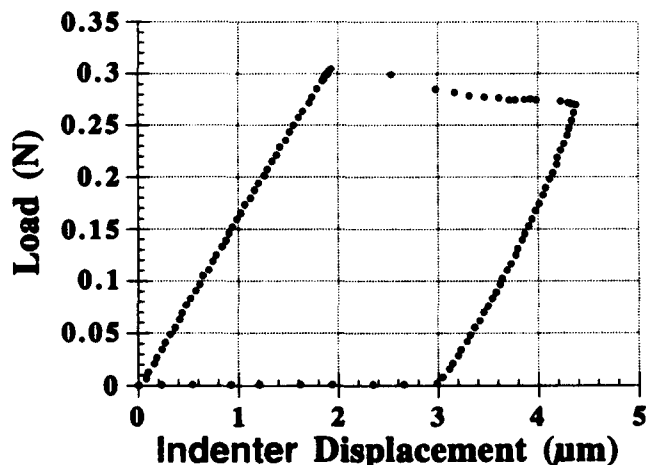


FIG. 3. The load-displacement curve for the fiber pushout test.



(a)



(b)

FIG. 4. The morphology of the fiber composite after the pushout test. (a) The indented fiber. (b) The pushed-out fiber.

$$\tau = \frac{P}{2\pi R(t - d)} \quad (2)$$

where  $P_{cr}$  is the critical load measured from the pushout test,  $R$  is the radius of the fiber,  $t$  is the thickness of the composite, and  $d$  is the pushout length of the fiber. The relationship between the interfacial frictional stress and fiber displacement is shown in Fig. 5. The calculated interfacial shear strength and interfacial frictional stress are 323 MPa and  $312 \pm 2$  MPa, respectively. The calculated interfacial shear strength is a little higher than that of a boron fiber reinforced Ti-6-4 alloy composite which is 275 MPa.<sup>3</sup>

## B. Atomic force microscopy study

An AFM image of the as-received coated Al<sub>2</sub>O<sub>3</sub> fiber is shown in Fig. 6. The surface features of the



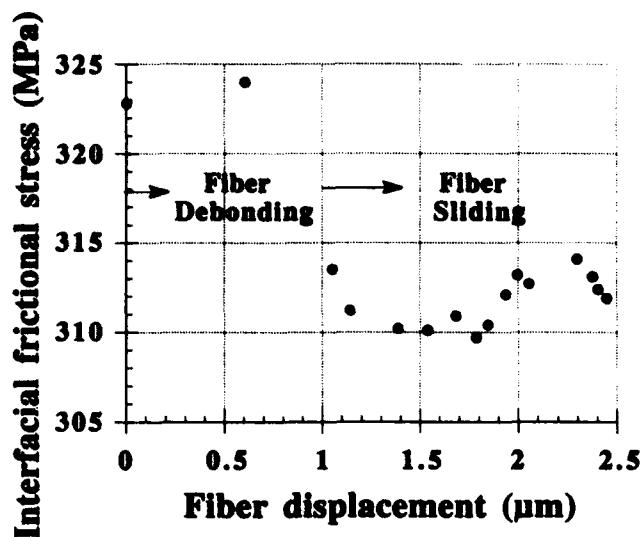


FIG. 5. The relationship between the interfacial frictional stress and the fiber displacement.

coated fiber are clearly seen. Note that the surface roughness appears to be much greater than it actually is as the  $z$ -axis is magnified  $7\times$  greater than the  $x$ - $y$  plane of the fiber surface. Five different areas were chosen for the section analysis by using the Nanoscope III software provided by Digital Corporation (Santa Barbara, CA). The root mean square surface roughness is  $18.8 \pm 2.2$  nm.<sup>1</sup> A SEM micrograph of the pushed-out fiber shows clearly the asperities on the fiber surface (Fig. 7). The size of these asperities is at the same

scale as that seen in the AFM image. There is no evidence of wear debris from the  $\beta$ -21S Ti alloy. These observations, along with the information that the interfacial frictional stress (312 MPa) is smaller than the shear yielding strength of the  $\beta$ -21S Ti alloy (525 MPa<sup>13</sup>), lead to the conclusion that the resistance to sliding is primarily due to elastic interactions between the fiber and the matrix.

### C. Calculation of friction coefficient

The modeling of the fiber sliding after debonding for fiber pushout tests has been developed by Liang and Hutchinson<sup>9</sup> and modified by Mackin *et al.*<sup>14</sup> who consider an elastic asperities mismatch between the fiber and the matrix. The relationship between the pushout stress and the pushout distance is shown as the following equation:

$$\sigma_u(d) = \frac{E\epsilon_\alpha}{2B} \left\{ \exp \left[ \frac{2\mu Bt}{R(1 - \frac{d}{t})} \right] - 1 \right\} + \left( \frac{2E\mu}{R^2} \right) \exp \left( \frac{-2\mu Bd}{R} \right) \times \int_d^h \left[ \exp \left( \frac{2\mu Bz}{R} \right) \right] \delta(z) dz \quad (3)$$

with

$$B = \frac{\nu_f E_m}{(1 - \nu_f)E_m + (1 + \nu_m)E_f} \quad (3a)$$

where  $\epsilon_\alpha$  is the thermal expansion mismatch,  $E$  is the composite modulus,  $\mu$  is the friction coefficient,  $\delta(z)$



FIG. 6. AFM image of the coated fiber.



FIG. 7. SEM micrograph of the pushed-out fiber.

is the elastic displacement required for accommodation between fiber and matrix along the embedded length of the fiber axis ( $z$ ), and  $h$  is the thickness of the sample (Fig. 8). Here,  $E$  and  $\nu$  denote Young's modulus and Poisson's ratio with subscripts  $m$  and  $f$  referring to matrix and fiber, respectively.

For the Al<sub>2</sub>O<sub>3</sub> fiber reinforced Ti composite bonded at 900 °C

$$\epsilon_\alpha = (\alpha_{\text{Ti}} - \alpha_{\text{Al}_2\text{O}_3})\Delta T = 8.75 \times 10^{-4} \quad (4)$$

$$\Delta T = T_p - T_0 = 900^\circ\text{C} - 25^\circ\text{C} = 875^\circ\text{C} \quad (4a)$$

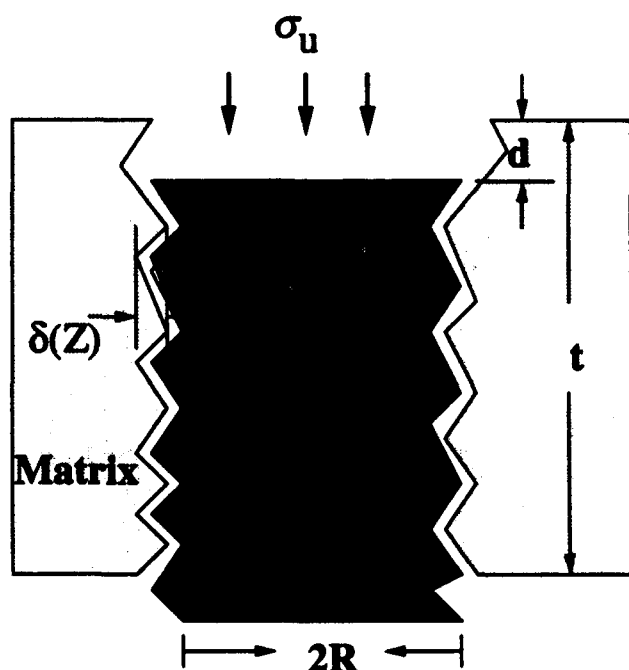


FIG. 8. Schematic diagram of the geometry for the fiber sliding.

where  $\alpha$  is the thermal expansion coefficient,  $T_p$  is the processing temperature, and  $T_0$  is room temperature.

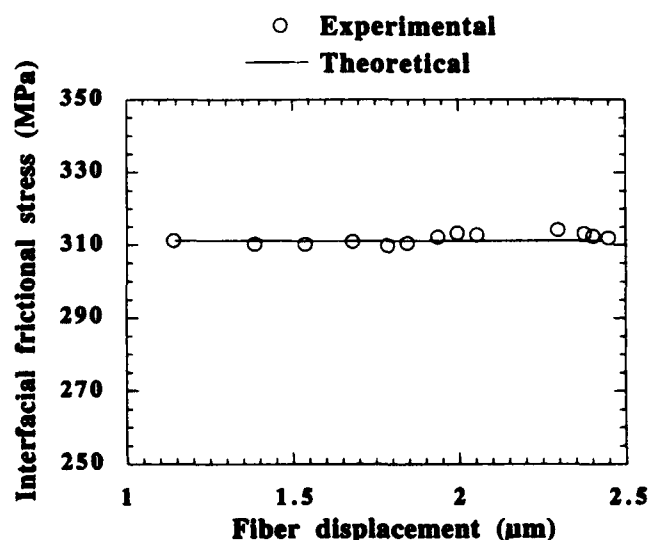
Moreover, by considering the force balance at the fiber,

$$\tau(d) = \frac{\sigma_u(d)R}{2t} \quad (5)$$

In this comparison, the asperity mismatch  $\delta(z)$ , as previously mentioned, is assumed to be an average surface roughness,  $\delta$ , which is a constant. This surface roughness of the coated Al<sub>2</sub>O<sub>3</sub> fiber is  $18.8 \pm 2.2$  nm. Equations (3) and (5) through appropriate substitutions become

$$\tau(d) = \frac{R}{2t} \left\{ \frac{E\epsilon_\alpha}{2B} \left[ \exp\left(\frac{2\mu Bt}{R\left(1 - \frac{d}{t}\right)}\right) - 1 \right] + \frac{E\delta}{RB} \left[ \exp\left(\frac{2\mu Bt}{R}\right) - 1 \right] \right\} \quad (6)$$

Since all of the variables except friction coefficient  $\mu$  are known from the experiment, a friction coefficient was determined by matching the theory and the experimental data for the fiber sliding. By considering the variation in the surface roughness of the fiber and the thickness of the specimen, this gives  $\mu$  a relatively constant value of  $0.32 \pm 0.02$ , as shown by the match of theory to experiment in Fig. 9. This value is close to the friction coefficient of 0.26 obtained for a SiC fiber reinforced Ti matrix composite.<sup>14</sup> The evaluation of the frictional stress using the fiber pushout tests and the surface roughness using an AFM provides some insight for the design of high toughness composites. It would appear that modification of the interfacial frictional stress by changing the surface roughness of the fiber is feasible.

FIG. 9. Comparison between the experimental data and Hutchinson's model.<sup>9</sup>

#### IV. CONCLUSIONS

Using fiber pushout tests, the mechanical properties of the matrix/fiber interface have been characterized for Al<sub>2</sub>O<sub>3</sub> fiber reinforced  $\beta$ -21S Ti alloy. Interfacial fracture was found to occur between the refractory metal and the Y<sub>2</sub>O<sub>3</sub> with an interfacial shear strength and interfacial frictional stress of 323 MPa and  $312 \pm 2$  MPa, respectively. Asperity interlocking during fiber sliding contributes to the interfacial frictional stress. By using an atomic force microscope, the surface roughness of the coated Al<sub>2</sub>O<sub>3</sub> fiber was found to be  $18.8 \pm 2.2$  nm. Incorporation of the experimental data in Liang and Hutchinson's model<sup>9</sup> gives the friction coefficient to be  $0.32 \pm 0.02$  for the coated Al<sub>2</sub>O<sub>3</sub> fiber sliding in a Ti matrix.

#### ACKNOWLEDGMENTS

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Part II:

## **Metal Thickness and Processing Temperature Effects on Ti/Al<sub>2</sub>O<sub>3</sub> Interface Fracture**

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## **ABSTRACT**

The interfacial fracture toughness in Ti/Al<sub>2</sub>O<sub>3</sub> bimaterial couples has been measured by using four point bending and continuous microscratch tests for several processing temperatures and thicknesses of Ti. The objective was two fold. First, the correlation between the fracture toughness determined from microscratch tests and that obtained from bending tests was investigated. The second objective was to study a small volume effect on interfacial fracture toughness. Fracture surfaces and sample cross sections were analyzed by Scanning Electron Microscopy, Energy Dispersive X-ray Analysis, Auger Electron Spectroscopy and Rutherford Backscattering Spectroscopy. The interfacial fracture toughness measured with four point bending tests increases with increasing applied bonding temperature up to 950°C. Above this temperature the fracture toughness decreases with increasing bonding temperature. This deterioration in toughness is due to an intermetallic phase (Ti<sub>3</sub>Al) produced by diffusion at high temperature. For a given thermal treatment, the interfacial fracture toughness decreases with decreasing thickness of Ti. This dependency persisted down to film thicknesses as small as 100 nm. Comparison of the four point bending and microscratch tests suggests that interfacial fracture toughness is critically dependent upon the metal interlayer thickness.

## 1. INTRODUCTION

Fracture at the metal/ceramic interface is an important issue in the mechanical properties of composites and the decohesion of films and coatings in microelectronics. The mechanism of fracture depends on the stress and fracture toughness of the constituents, the interfacial fracture energy, and the phase angle<sup>1,2</sup>. Therefore, a precise measurement of the interfacial fracture toughness is necessary in order to design suitable interfaces for industrial applications.

Several techniques have been utilized to evaluate the fracture and adhesion strength of metal/ceramic systems such as the peel, bulge and scratch tests<sup>3-5</sup>. Many of these techniques are only qualitative and involve fundamental problems concerning measurable adhesion strength range and data accuracy. In contrast, the four point bending and microscratch adhesion tests are quantitative, and the adhesion strength can be obtained<sup>6-9</sup>.

The initial purpose of this work was to develop an accurate standard using two test methods for the systematic measurement of the interfacial fracture toughness. In pursuing this goal with various thickness of films as overlayers or underlayers, a strong thickness effect appeared. The secondary goal then became to quantify this thickness effect and see if it persisted to very small volumes. The study consists of an experimental approach in which the variables such as the test temperature and metal interlayer thickness are changed. Four point bend and microscratch tests were used to measure the interfacial fracture toughness. Surface spectroscopy and fractography were employed to elucidate a few important features with regard to debonding phenomena and interfacial fracture toughness. The relationship between mechanical properties and the chemistry of Ti / Al<sub>2</sub>O<sub>3</sub> composite interfaces is also discussed.

## **2. EXPERIMENTAL PROCEDURES**

### **2.1 Four point bending tests**

For measuring the mixed mode interfacial fracture toughness, the four point bending test has been shown to be effective<sup>10</sup>. This was measured with the specimen geometry proposed by Evans<sup>10</sup>. The specimen consists of a bimaterial beam which is evaluated by four point bending as shown in Fig. 1.

To make sandwich specimens for the four point bending tests, Hot Isostatic Press diffusion bonding process (HIP) was performed. As shown in Fig. 1, by sandwiching thin foils of pure Ti (99.8% pure) between two  $\text{Al}_2\text{O}_3$  plates, the specimens were prepared. Two foils were separated by a gap of 3mm to form a precrack. Applied bonding temperatures of 800°C to 1000°C were chosen. To assess the thickness effect on the interfacial fracture toughness for these Ti/ $\text{Al}_2\text{O}_3$  composites, different thicknesses of the Ti interlayer which range from 6 $\mu\text{m}$  to 127  $\mu\text{m}$  were used. The  $\text{Al}_2\text{O}_3$  plates and Ti foils were immersed in isopropyl alcohol for 10 min and then cleaned in an ultrasonic cleaner for 20 min. For HIP diffusion bonding, the starting sandwich specimens were wrapped with Ta foils and then encapsulated with glass containers as shown in Fig. 2(a). The glass container was evacuated to  $10^{-6}$  torr and sealed. This was then placed into the hot zone of a high pressure vessel, and HIP bonded in an Ar gas atmosphere as shown in Fig. 2(b). Specimens were first heated to the softening point of the glass under a pressure of 1.75 MPa for 30 min and then pressure and temperature were simultaneously raised to 7 MPa and a fixed temperature which ranged from 800°C to 1000°C. The bonding time was fixed at 1hr.

A notch was cut in the sandwich specimen from the  $\text{Al}_2\text{O}_3$  side with a low speed diamond saw. Because of the gap between the foils, this forms a well defined precrack. The precracked specimens were placed in a four point bending fixture mounted on a MTS machine. The crosshead speed was 0.06 mm/min with load and displacement being recorded during the test. A critical load drop marks the propagation of a crack along the

interface between Ti and Al<sub>2</sub>O<sub>3</sub>. This critical load was used to calculate the interfacial fracture toughness. After the four point bending tests were completed, the specimens were cut perpendicular to the bonding interface with a low speed diamond saw. The exposed cross section was then polished. Following this, microstructural observations and chemical analysis of interfaces were conducted with Scanning Electron Microscopy (SEM), Energy Dispersive X-ray Analysis (EDAX), Auger Electron Spectroscopy (AES) and Rutherford Backscattering Spectroscopy (RBS).

## **2.2 Continuous microscratch tests**

### **2.2.1 Sample processing conditions**

#### **A. Sputtering conditions**

Titanium Films of 0.1, 0.2, 0.5, 1.0, and 2.0  $\mu\text{m}$  thick were deposited onto single crystal Al<sub>2</sub>O<sub>3</sub> substrates by r.f. sputtering. Prior to deposition, the substrates were cleaned with acetone in an ultrasonic cleaner. These were then placed in the sputtering chamber. After evacuating the chamber to a background vacuum  $< 5 \times 10^{-7}$  Torr, sputtering was performed at an Ar pressure of 10 mTorr, with a power density of 1.54 W/cm<sup>2</sup>. The distance between target and substrate was maintained at 75mm during the deposition. The deposition rate was about 100 $\text{\AA}$ /min and the substrate temperature during deposition was not more than 80  $^{\circ}\text{C}$ .

#### **B. Electron beam evaporation conditions**

Electron beam evaporation was used to prepare the Ti films which were used to characterize the temperature effect. Before the evaporation process, the chamber was evacuated to  $10^{-6}$  torr. A deposition rate of 20  $\text{\AA}$ /s and power 1.5 kW was then used to produce 0.8  $\mu\text{m}$  thick Ti films.

#### **C. Heat treatment conditions**

To minimize the loss of Ti during the heat treatment, samples were housed in Ti capsules. The sample temperature was controlled to  $\pm 1^{\circ}\text{C}$  and was monitored by Pt/Pt13%Rh thermocouples located within 15 mm of the samples. Using various gases or gas mixtures such as H<sub>2</sub>, Ar, CO/CO<sub>2</sub> and CO/Ar, the oxygen partial pressure around the samples was controlled and varied in the range of  $10^{-22}$  -  $10^{-4}$  atm. The values of oxygen partial pressure were measured by a



zirconia oxygen partial pressure sensor located next to the sample capsule. The heating and the cooling rates were generally  $\sim 20$  °C/min.

### 2.2.2 Microscratch tests

Microscratch tests were performed by driving a conical diamond indenter both in the vertical and horizontal directions at the same time. Before the test, the indenter was located just above the surface of the sample allowing the test to be started at zero applied load. The total scratch distance and scratch speed were set at 120  $\mu\text{m}$  and 0.5  $\mu\text{m/s}$ , respectively. The speed of the vertical movement of the indenter was 15 nm/s. When the film delaminated from the substrate, an abrupt drop in the load occurred. This critical load is used to calculate the interfacial fracture toughness.

In general, twenty microscratch tests were performed on each sample. After the microscratch tests, the resulting scratch tracks and delaminated areas were examined with a scanning electron microscope and an optical microscope with Nomarski contrast to determine the width of the track ( $2a$ ), the area of the thin film that debonds from the substrate ( $A_d$ ), the length of the debonded region ( $B$ ) and the angle ( $\theta$ ) enclosed by the fan-shaped debonded area. The critical load ( $P_{cr}$ ) is determined from the microscratch data. These values were used to calculate the interfacial fracture toughness. Values for the interfacial fracture toughness and the interfacial fracture energy for scratch tests were calculated followed by chemical analysis of the  $\text{Ti/Al}_2\text{O}_3$  specimens using RBS.

## 3. RESULTS

### 3.1 Determination of interfacial fracture toughness

#### 3.1.1 Four point bending tests

The critical load ( $P$ ) measured from the load-displacement curve is used to calculate the interfacial fracture toughness ( $K_c$ ) (or interfacial fracture energy ( $G_c$ )) as follows<sup>9,10</sup>:  
(The details are given in the appendix.)

$$M = \frac{PL}{2b}$$

$$G_c = \frac{(1 - \nu_2^2)M^2}{2E_2} \left( \frac{1}{I_2} - \frac{\lambda}{I_c} \right)$$

$$\lambda = \frac{E_2(1 - \nu_1^2)}{E_1(1 - \nu_2^2)}$$

$$|K_c| = \{4 \cosh^2(\pi \varepsilon) G_c / [(1 - \nu_1) / \mu_1 + (1 - \nu_2) / \mu_2]\}^{1/2}$$

$$\varepsilon = (1 / 2\pi) \ln \{ [(3 - 4\nu_1) / \mu_1 + 1 / \mu_2] [(3 - 4\nu_2) / \mu_2 + 1 / \mu_1]^{-1} \}$$

where  $E$ ,  $\mu$  and  $\nu$  are Young's modulus, the shear modulus and Poisson's ratio, respectively. The subscripts 1, 2 and c refer to materials 1 and 2 and the overall composite (Fig. 1). Here,  $\varepsilon$  is the bimaterial constant,  $I$  is the second moment of inertia and  $L$  and  $b$  are depicted in Fig. 1.

### 3.1.2. Continuous microscratch tests

The average shear stress ( $\tau$ ) and normal stress ( $\sigma$ ) in the delaminated region are the function of  $a$ ,  $A_d$ ,  $B$ ,  $\theta$  and  $L_{cr}$ . The critical strain energy,  $G_c$ , (or interfacial fracture energy) released through the thickness of the film can be expressed as<sup>7</sup>

$$G_c = \sum \left( \frac{\tau_{ij}^2}{2\mu} + \frac{\sigma_{ij}^2}{2E} \right) t \quad (1)$$

where  $t$  is the thickness of the film,  $\mu$  and  $E$  are the shear modulus and Young's modulus of the film respectively. For more details see reference 7. Therefore, the interfacial fracture toughness,  $K_{Ic}$ , can be calculated using the fracture mechanics result<sup>11</sup>,

$$K_{Ic} = \sqrt{\frac{2\mu G_c}{1 - \nu}} \quad (2)$$

## 3.2 Effect of Ti thickness

### 3.2.1. Four point bend tests

The interfacial fracture toughness was measured for four different thicknesses of the Ti interlayer at a fixed bonding temperature of 900°C. The interfacial fracture toughness (or interfacial fracture energy) increases from 1.42 MPa-m<sup>1/2</sup> to 3.45 MPa-

$\text{m}^{1/2}$  ( or  $9.6 \text{ J/m}^2$  to  $45.1 \text{ J/m}^2$ ) as the thickness of the Ti interlayer was increased from  $6 \mu\text{m}$  to  $127 \mu\text{m}$ , as shown in Fig. 3.

### 3.2.2. Continuous microscratch tests

In each scratch experiment, the load increases as the the indenter goes into and across the film, building a stress ahead of it. At a critical load, the film delaminates. The SEM observations in Fig. 4 illustrate the features that are representative of typical scratch tracks. This critical load drop which corresponds to the film delamination from the substrate along with the area of delamination were used to evaluate the interfacial fracture toughness (or interfacial fracture energy) of the samples. The interfacial fracture toughness increases from  $0.2 \text{ MPa-m}^{1/2}$  to  $1.46 \text{ MPa-m}^{1/2}$  as the Ti film thickness increases from  $0.1 \mu\text{m}$  to  $2 \mu\text{m}$  (Fig. 5). The interfacial fracture energy correspondingly increases from  $0.28 \text{ J/m}^2$  to  $14.7 \text{ J/m}^2$ .

## 3.3 Effect of temperature

### 3.3.1. Four point bend tests

The interfacial fracture toughness was measured at different applied bonding temperatures for a  $25 \mu\text{m}$  thickness Ti interlayer. The relationship between interfacial fracture toughness (or interfacial fracture energy) and bonding temperature is shown in Fig.6. The interfacial fracture toughness ( or interfacial fracture energy ) increases from  $2.3 \text{ MPa-m}^{1/2}$  to  $2.66 \text{ MPa-m}^{1/2}$  ( or  $25.4 \text{ J/m}^2$  to  $34.1 \text{ J/m}^2$  ) as the bonding temperature increases from  $800^\circ\text{C}$  to  $950^\circ\text{C}$ . Then the interfacial fracture toughness drops to  $1.9 \text{ MPa-m}^{1/2}$  ( or  $16.9 \text{ J/m}^2$ ) when the bonding temperature is further raised to  $1000^\circ\text{C}$ . The SEM cross-sectional view of a specimen which was bonded at  $1000^\circ\text{C}$  is shown in Fig.7(a). A reaction layer exists between Ti and  $\text{Al}_2\text{O}_3$ . A slight contrast difference exists between the reaction layer and the Ti in the backscattering electron image in Fig. 7(b). From the X-ray map in Fig. 8, the diffusion of Al into Ti is seen to be much greater than that of Ti into  $\text{Al}_2\text{O}_3$ . The morphology of the fracture surfaces of the specimens which

were bonded at 1000°C and tested with four point bending are shown as Fig. 9. The presence of a continuous reaction layer is on both sides of the Ti indicates that the crack propagates in the reaction layer between the Ti and Al<sub>2</sub>O<sub>3</sub>. The composition of the reaction product at the interface is analyzed by using EDAX with the semi-quantitative data being shown in Fig. 10. From the measured atomic % of Ti and Al, the reaction layer between Ti and Al<sub>2</sub>O<sub>3</sub> is identified as Ti<sub>3</sub>Al. Because the Ti<sub>3</sub>Al intermetallic alloy is very brittle<sup>12,13</sup>, it will reduce the fracture toughness of the interface. It is expected that when the bonding temperature is 1000°C, a great deal of Ti<sub>3</sub>Al is produced which will decrease the interfacial fracture toughness of Ti / Al<sub>2</sub>O<sub>3</sub> composites. This is consistent with Tressler and Moore's<sup>14,15</sup> results on the deterioration of Ti/Al<sub>2</sub>O<sub>3</sub> composite strength caused by the presence of Ti<sub>3</sub>Al produced during processing.

The fracture surface of the Ti interlayer where the crack crossed from the upper to the lower interface also has a brittle appearance. There are two possibilities for this behavior. The first one is because the metal interlayer is sandwiched between the Al<sub>2</sub>O<sub>3</sub>, causing constrained plastic deformation with the stress reaching a much higher value than that in the unconstrained elastic/plastic solid<sup>16,17</sup>. The second possibility is that as oxygen diffuses into the Ti during the HIPing process, the Ti interlayer embrittles. Chemical analysis of the Ti interlayer after the HIPing shows that the oxygen concentration is about 15 at% (Fig. 11).

### 3.3.2. Continuous microscratch tests

Twenty samples were heat treated at temperatures of 400°C - 1000°C in an oxygen partial pressure of 10<sup>-22</sup> atm for 3 hrs. For samples annealed at temperatures below 600°C, the oxygen partial pressure cannot be measured by the oxygen sensor. One sample was annealed at 400°C for 20 hrs.

The four samples annealed at 400°C and 450°C for 4 hrs as well as the sample annealed at 400°C for 20 hrs, showed very strong adhesion of the Ti film to the Al<sub>2</sub>O<sub>3</sub> substrate, such that no

failure could be produced by microscratch tests using a diamond indenter with tips of radius 1, 5 and 10  $\mu\text{m}$ . SEM observations showed no sign of film delamination from the substrate.

Four samples were heat treated at 500°C and 550°C for 3 hrs. For these samples, delamination of the films from the substrates was induced. The interfacial fracture toughness (or interfacial fracture energy) for the samples annealed at 500°C and 550°C is 0.53  $\text{MPa}\cdot\text{m}^{1/2}$  and 0.43  $\text{MPa}\cdot\text{m}^{1/2}$  (or 2.4  $\text{J}/\text{m}^2$  and 1.6  $\text{J}/\text{m}^2$ ), respectively, as shown in Fig. 12. At higher temperatures, 1000°C, the interfacial fracture toughness decreased substantially. For samples treated at 1000°C, the interfacial fracture toughness (or the interfacial fracture energy) is 0.18  $\text{MPa}\cdot\text{m}^{1/2}$  (or 0.3  $\text{J}/\text{m}^2$ ).

RBS spectra were obtained from the specimens as exemplified by Fig.13. In an RBS spectrum, the normalized yield (*i.e.*, the number of  $\alpha$ -particles scattered into the detector normalized to the total incident beam dosage, the detector solid angle and the channel width of the multichannel analyzer) is plotted against the energy of the backscattered  $\alpha$ -particles. The element markers on the energy axis indicate where the yield edge for a particular element should be if that element were present at the surface of the specimen. Thus, from Fig. 13(a), for instance, it is clear that Ti is present at the surface whereas Al is not. Composition profiles can be obtained by simulating the RBS spectrum of a hypothetical sample. The parameters of the hypothetical sample are adjusted until its simulated RBS spectrum is deemed sufficiently close to the spectrum of the real sample. The composition profiles in the hypothetical specimen are then considered to be a good approximation of those in the real sample.

Heat treatment produced marked effects on the compositional structure of the samples. As shown in Fig. 13(a), in an "untreated" specimen, a film of almost pure Ti (the thickness of this film is about 750 nm from the RBS simulation) overlies a layer of Al and O, presumably forming  $\text{Al}_2\text{O}_3$ . A small amount of O is present at the surface, but the concentration of O decreases to zero going into the Ti film. For a specimen heat treated for 3 hrs at 500°C, the spectrum (Fig. 13(b)) is similar to that for the untreated specimen except that the oxygen signal remains non-zero from the surface marker to the lower energies, and that the Ti yield is lower. This result demonstrates

that although the basic structure of the specimen has not changed, there is a significant amount of oxygen present throughout the Ti film. For the sample treated at 900°C for 3 hrs, the RBS spectrum has fundamentally changed (Fig. 13(c)). The energy edge for Al appears at a higher energy than for the other two samples, accompanied by a yield step in the lower-energy portion of the Ti peak. These observations indicate that a layer of a Ti-Al compound exists between the Ti film and the Al<sub>2</sub>O<sub>3</sub> substrate. In addition, the oxygen content in the Ti film is also higher. These results are consistent with chemical analyses obtained by SEM, EDAX and AES.

#### 4. DISCUSSION

As shown in Fig. 3, the interfacial fracture toughness increases with increasing thickness of metal. A larger plastic energy dissipation within the Ti interlayer contributes in the form of near tip deformation and crack blunting during the interfacial crack propagation as the Ti layer becomes thicker. A similar thickness effect of metal interlayer on the interfacial fracture toughness has also been found in the Au/Al<sub>2</sub>O<sub>3</sub><sup>16,17</sup> and Cu/glass systems<sup>18-21</sup>.

The interfacial fracture energy measured from continuous microscratch and four point bending tests ranges from 0.28 J/m<sup>2</sup> to 14.7 J/m<sup>2</sup> and 9.6 J/m<sup>2</sup> to 45.1 J/m<sup>2</sup> respectively. These values are larger or within the range of typical work of adhesion in metal/ceramic systems<sup>22</sup> (0.05 J/m<sup>2</sup> - 1.0 J/m<sup>2</sup>). Since the interfacial fracture energy is equal to the work of adhesion and the nonlinear energy dissipation in the metal<sup>23</sup>, it is seen that the work of adhesion is only a small portion of the interfacial fracture energy. The fraction of the irreversibly dissipated plastic energy is higher than 80% for the thick Ti. Correlation between the four point bending and microscratch tests for different thickness of Ti was made as shown in Fig. 14. It is seen that the interfacial fracture toughness measured by these two techniques are in reasonable agreement. The interfacial fracture toughness obtained from microscratch tests is a little larger than the value determined from the four point bending tests in the region of 1-10  $\mu$ m. This might be expected possibly because the mixed mode fracture process in these two tests is not the same. In the four point bending tests, the phase angle of loading  $\Psi$  is about 45° where  $\Psi = \tan^{-1}(K_{II} / K_I)$ . This means there is an equal

amount of  $K_I$  and  $K_{II}$  in this fracture condition. Here,  $K_I$  and  $K_{II}$  are mode I (opening mode) and mode II (in-plane shear mode) stress intensity factors respectively. In contrast, the increasing shear stress ahead of the sliding indenter tip during the continuous microscratch tests causes the delamination of the films from the substrates. It is apparent that the relative shear stress component in microscratch tests is higher than that in the four point bending tests. Therefore, the phase angle of loading is larger in microscratch tests, resulting in an increase in interfacial fracture toughness<sup>6</sup> due to roughness-induced shielding and plastic dissipation during the fracture process<sup>24-25</sup>.

A comparison of the fracture energy obtained from the four point bending tests and the continuous microscratch tests for different processing temperatures shows consistent results. The interfacial fracture toughness measured from the microscratch tests is an order magnitude smaller than the value obtained from four point bending tests. The most likely cause of this behavior is the thickness variable since, as given in equation (1), the interfacial fracture energy should increase proportional to the thickness. The Ti layer thickness which differed by a factor of 30 and the difference in phase angle as discussed above account for most of the remaining difference in interfacial fracture energy observed. Additionally, the residual stress developed in the Ti film during the annealing process for the microscratch tests may be another factor to cause some variation.

These results show that a reasonably quantitative evaluation of the adhesion strength in metal/ceramic interfaces is possible. The applied bonding temperatures and thicknesses of metal interlayers have a great effect on the interfacial fracture toughness (or interfacial fracture energy) of the composites. Therefore, by changing these processing conditions, either "weak" or "strong" interfaces can be obtained<sup>26</sup>. It has been shown that the thermomechanical properties of the interfaces have a dominant effect on the macroscopic mechanical properties of composites and thin films<sup>26</sup>. For example, systematic studies of the trends in the fracture energy for a variety of interfaces could be very useful to the design of composites and thin films.

## 5. SUMMARY AND CONCLUSIONS

Four point bending and microscratch tests were performed successfully to measure interfacial fracture toughness in Ti/Al<sub>2</sub>O<sub>3</sub> composites. Interfacial fracture toughnesses determined from four point bending and continuous microscratch tests are in reasonable agreement and show an increasing trend with increasing film thickness. This is due to the plastic energy dissipation of the Ti during the fracture process. The interfacial fracture toughness (or interfacial fracture energy) reaches a maximum value of 2.66 MPa-m<sup>1/2</sup> (or 34.1 J/m<sup>2</sup>) with a bonding temperature of 950°C. The presence of the intermetallic compound Ti<sub>3</sub>Al produced during bonding at 1000°C deteriorates the fracture toughness of the Ti/Al<sub>2</sub>O<sub>3</sub> composite. The interfacial fracture toughness measured from continuous microscratch tests of thin films ranges from 0.18 to 1.46 MPa-m<sup>1/2</sup> (or 0.3 to 14.7 J/m<sup>2</sup>) depending on annealing temperature and thickness of Ti.

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## APPENDIX

The strain energy release rate ( $G_c$ ) can be calculated from consideration of the energies in the uncracked section, and in a section of the lower beam beneath the crack (Fig.1). From Euler- Bernoulli beam theory and the plane strain condition, these energies can be expressed in terms of the applied moment ( $M$ )

$$U = \frac{(1 - \nu^2)M^2}{2EI} \quad (A1)$$

where  $U$  is the strain energy per unit cross section,  $I$  is the second moment of inertia per unit width and  $\nu$  is the Poisson's ratio.

$$G_c = \frac{M^2}{2E_2} (1 - \nu_2^2) \left( \frac{1}{I_2} - \frac{\lambda}{I_c} \right) \quad (A2)$$

$$\lambda = \frac{E_2(1 - \nu_1^2)}{E_1(1 - \nu_2^2)}$$

E is Young's modulus and  $I_c$  the moment of inertia of a bimaterial beam which is equal to

$$I_c = \frac{h_1^3}{12} + \lambda \frac{h_2^3}{12} + \lambda h_1 h_2 \frac{(h_1 + h_2)^2}{4(h_1 + \lambda h_2)} \quad (A3)$$

$$I_2 = \frac{h_2^3}{12}$$

where  $h_1$  and  $h_2$  are the thicknesses of upper beam and lower beam respectively (Fig. 1).

The stress intensity factor ( or interfacial fracture toughness )  $K_c$  can also be related to the plane strain energy release rate ( or interfacial fracture energy )  $G_c$  by<sup>27</sup>

$$G_c = [(1 - \nu_1) / \mu_1 + (1 - \nu_2) / \mu_2] K_c \overline{K_c} / 4 \cosh^2(\pi \epsilon) \quad (A4)$$

Furthermore, since

$$K_c \overline{K_c} = |K_c|^2$$

$$|K_c| = \{4 \cosh^2(\pi \epsilon) G_c / [(1 - \nu_1) / \mu_1 + (1 - \nu_2) / \mu_2]\}^{1/2} \quad (A5)$$

All dimensions are shown in Fig 1. The moment per unit width M is given by

$$M = \frac{PL}{2b}, \text{ with } P \text{ being the critical load determined from load- displacement curve, } L \text{ the}$$

spacing between the inner load and outer load points, and b the width of the specimen.

The critical interfacial strain energy release rate, here defined as interfacial fracture energy, can be obtained from equation (A2). Therefore, the interfacial fracture toughness can be calculated from equation (A5).

## LIST OF FIGURES

**Fig. 1. Specimen geometry for the four point bending tests.**

**Fig. 2. Schematic diagram for Hot Isostatic Press (HIP) process (a) containerization (b) HIP unit.**

**Fig. 3. The relationship between the interfacial fracture toughness (or interfacial fracture energy) and thickness of Ti interlayer for the four point bending tests.**

**Fig 4. Scanning Electron micrograph of a typical microscratch track.**

**Fig 5. The relationship between the interfacial fracture toughness (or interfacial fracture energy) and thickness of Ti interlayer for the continuous microscratch tests.**

**Fig 6. The relationship between the interfacial fracture toughness (or interfacial fracture energy) and applied bonding temperature for the four point bending tests.**

**Fig. 7. SEM micrograph of the interface between Ti and  $\text{Al}_2\text{O}_3$  after a bonding time of 1 hr at a bonding temperature of  $1000^\circ\text{C}$ . (a) SEI image. (b) BSE image.**

**Fig. 8. X-ray mapping of the interface between Ti and  $\text{Al}_2\text{O}_3$  after a bonding time of 1 hr at  $1000^\circ\text{C}$ . (a) Al x-ray mapping. (b) Ti x-ray mapping.**

**Fig. 9. SEM micrograph of the fracture surface of Ti/ $\text{Al}_2\text{O}_3$  after bonding at temperature  $1000^\circ\text{C}$ .**

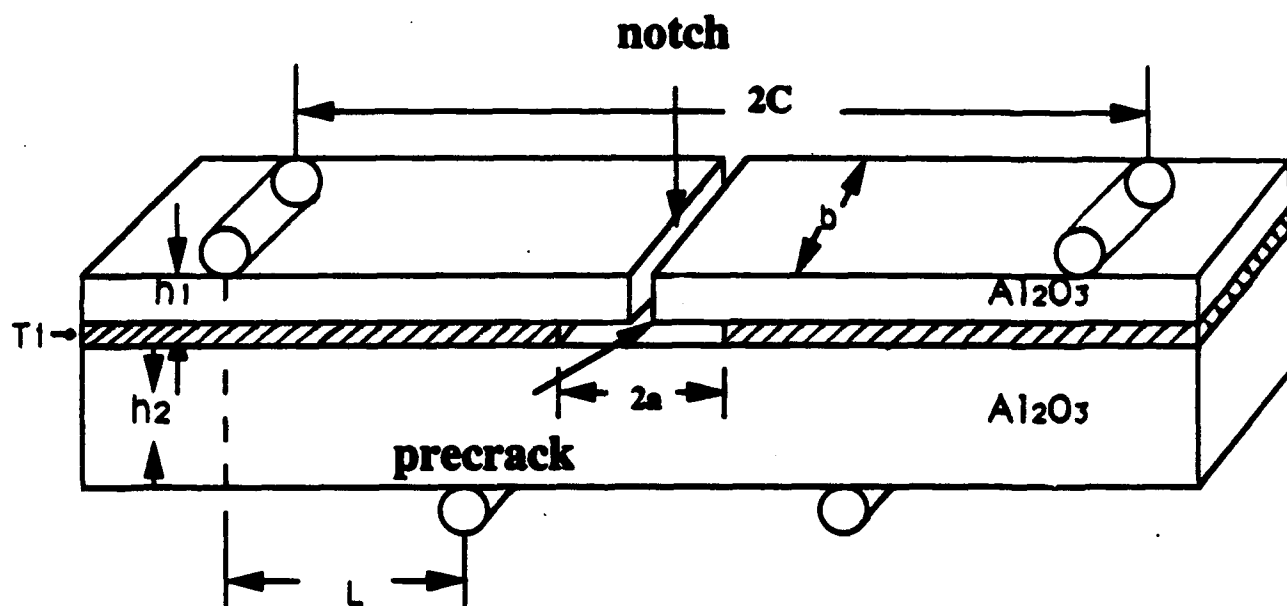
**Fig. 10. EDAX analysis of the Ti/ $\text{Al}_2\text{O}_3$  interface after bonding 1 hr at  $1000^\circ\text{C}$ .**

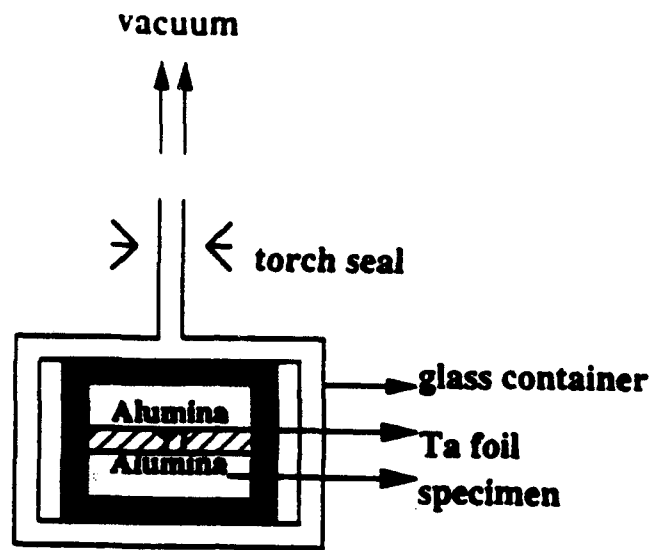
**Fig. 11. AES analysis of the Ti fracture surface from the composite bonded at  $1000^\circ\text{C}$ .**

**Fig. 12. The relationship between the interfacial fracture toughness (or interfacial fracture energy) and annealing temperature for the microscratch tests.**

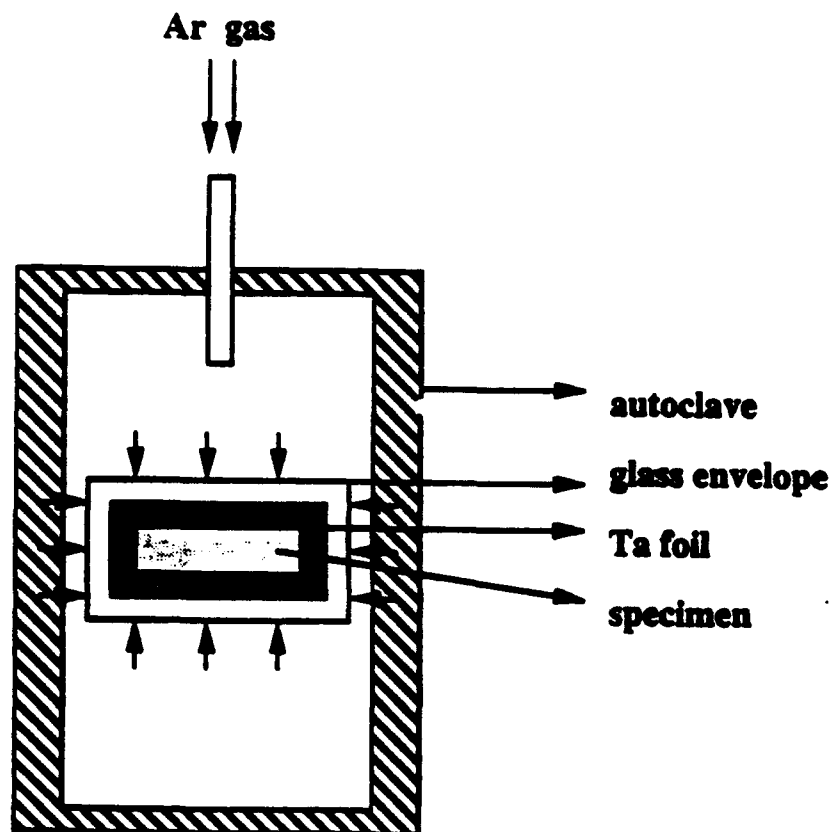
**Fig. 13. Typical RBS spectra for (a) Starting sample. (b) Sample heat treated at  $500^\circ\text{C}$ . (c) Sample heat treated at  $900^\circ\text{C}$ .**

**Fig. 14. Comparison between the four point bending and the microscratch tests for different thicknesses of Ti.**

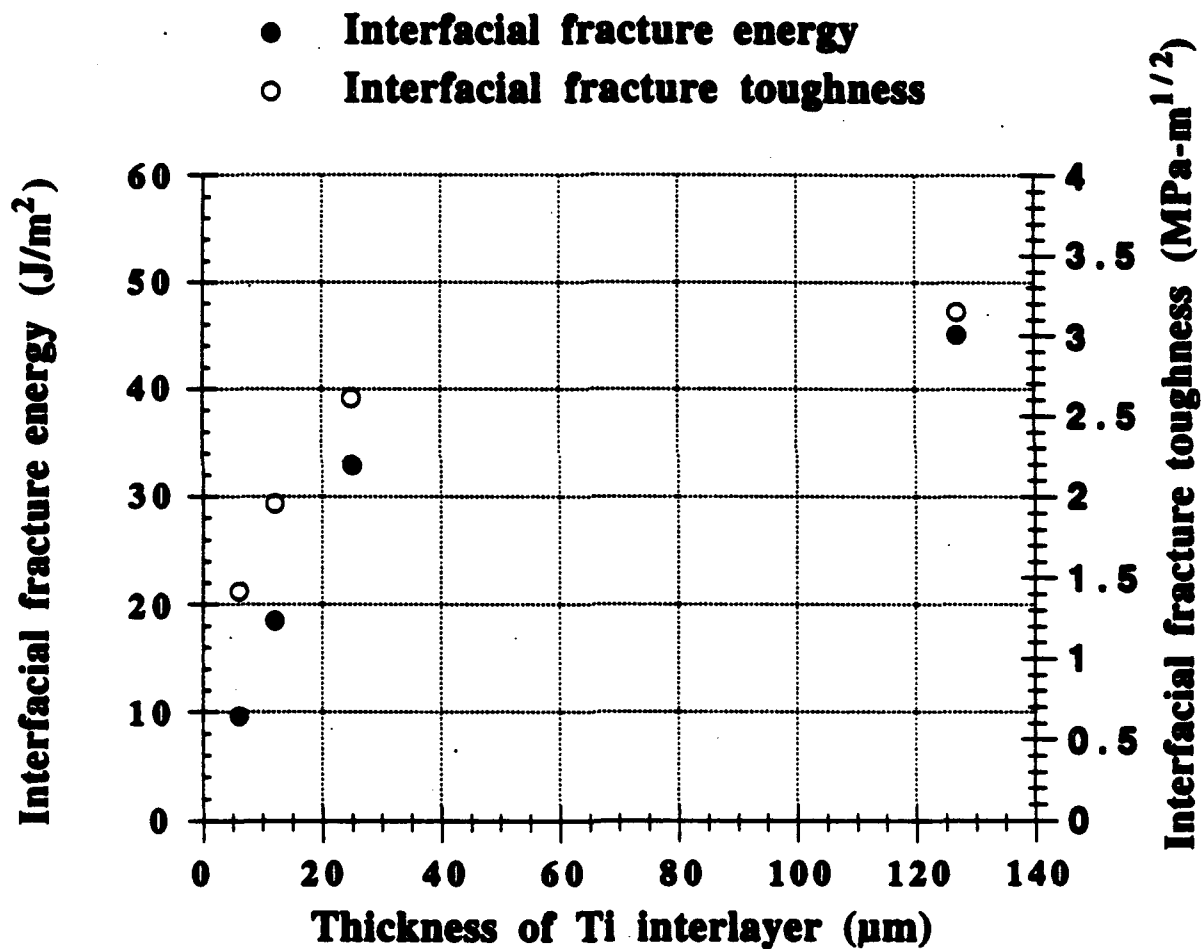




(a)

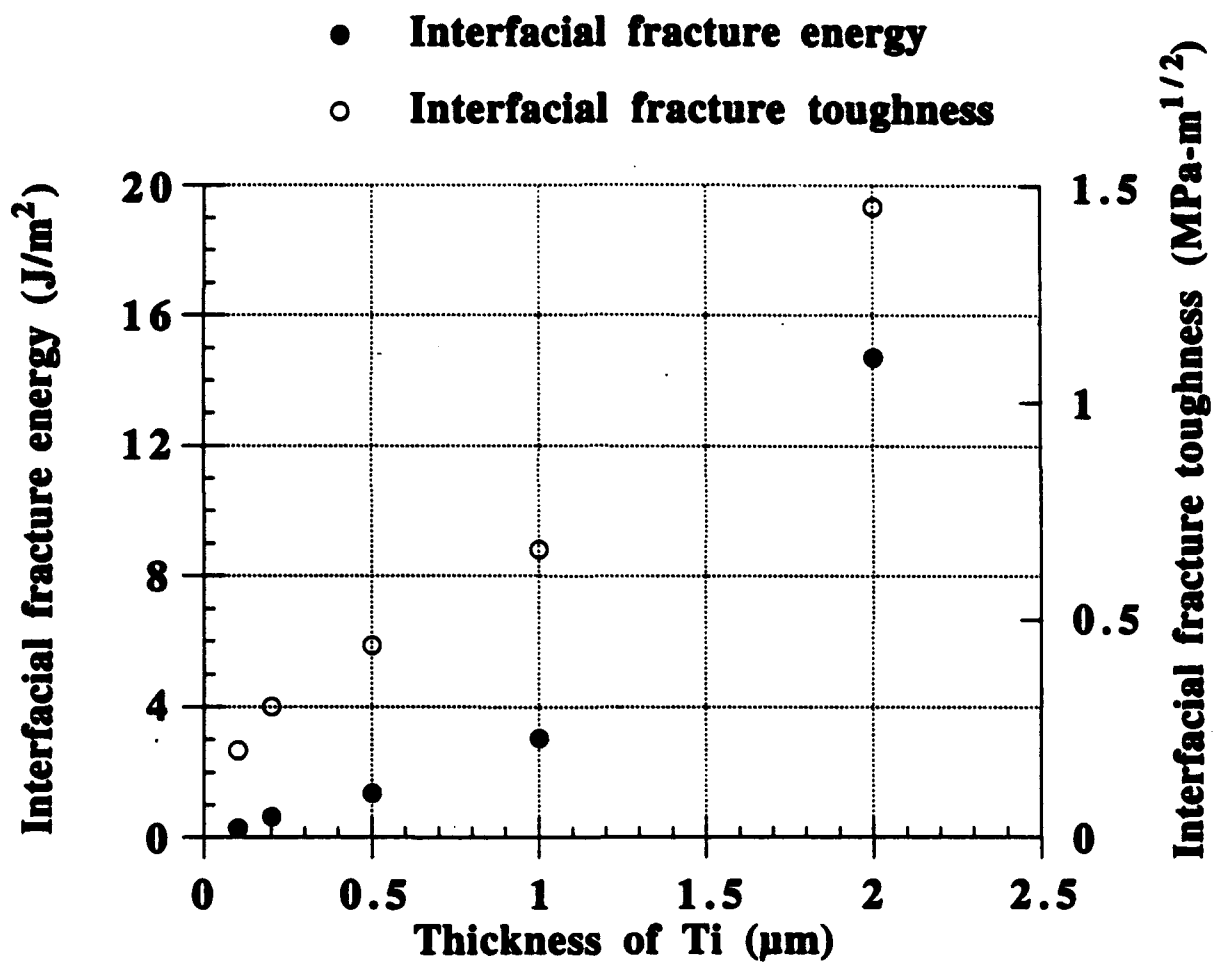


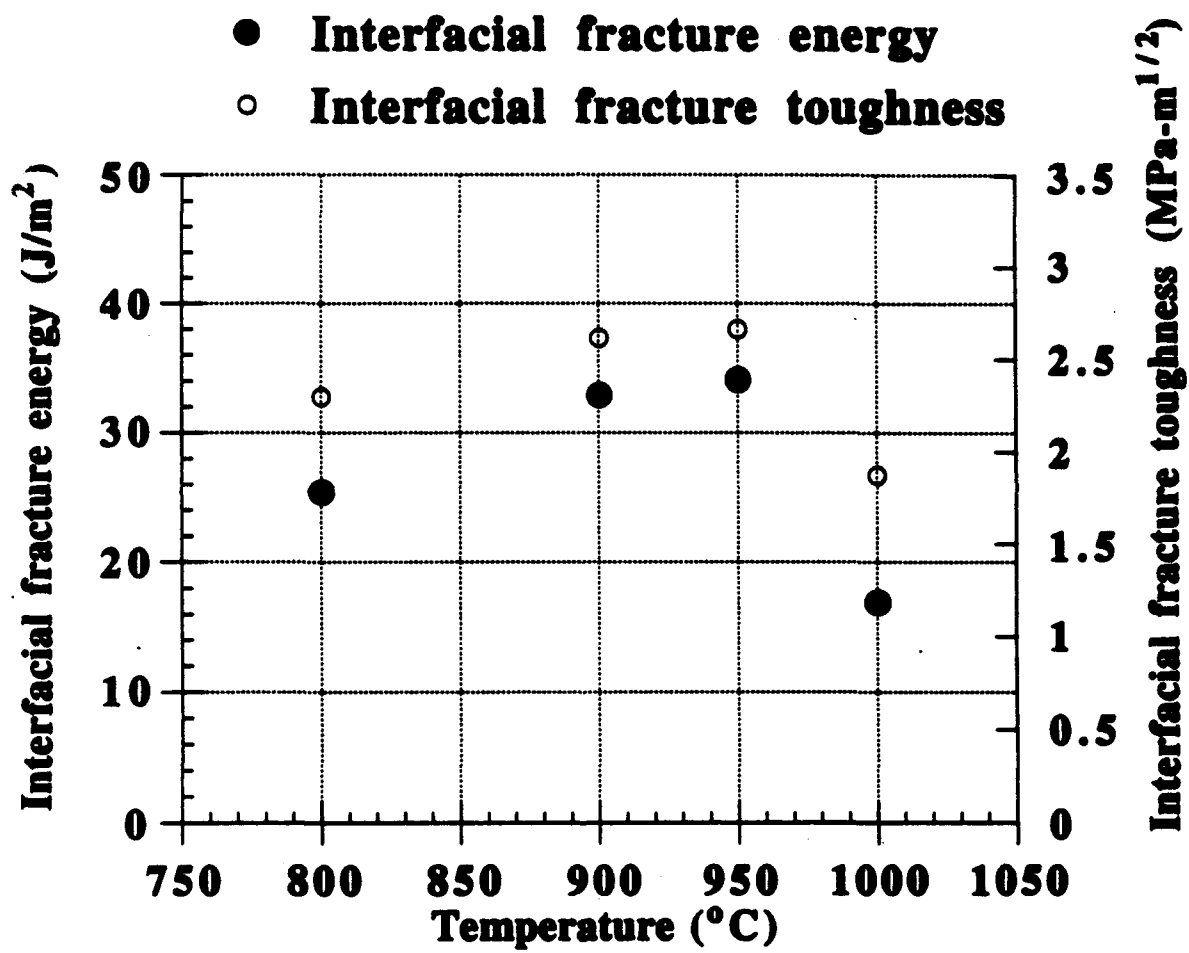
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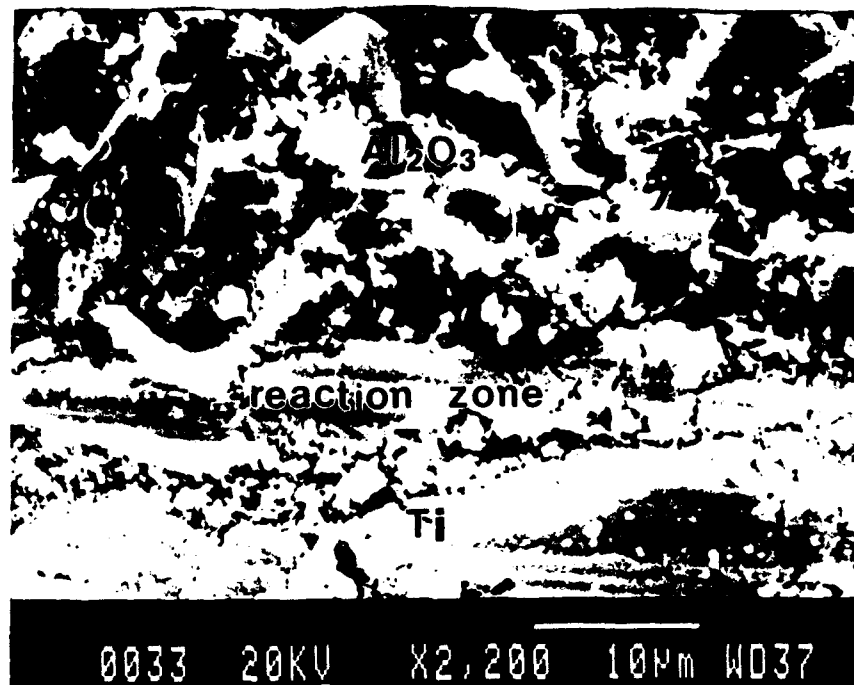




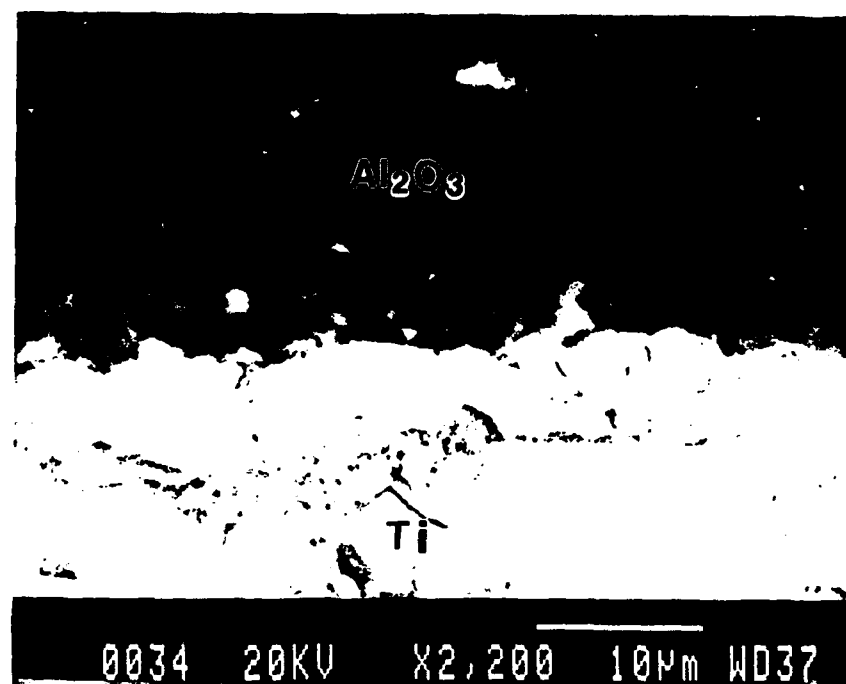




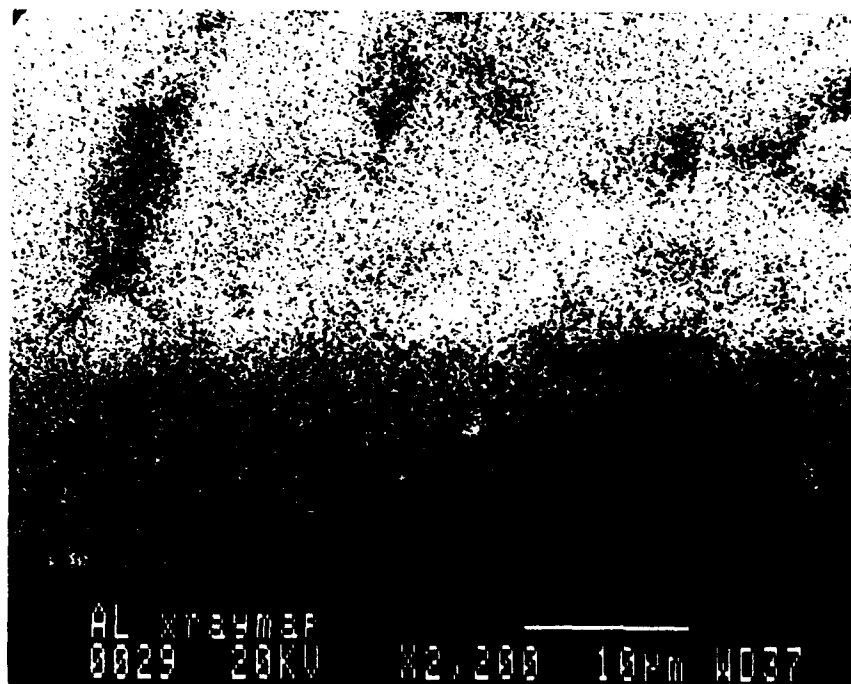




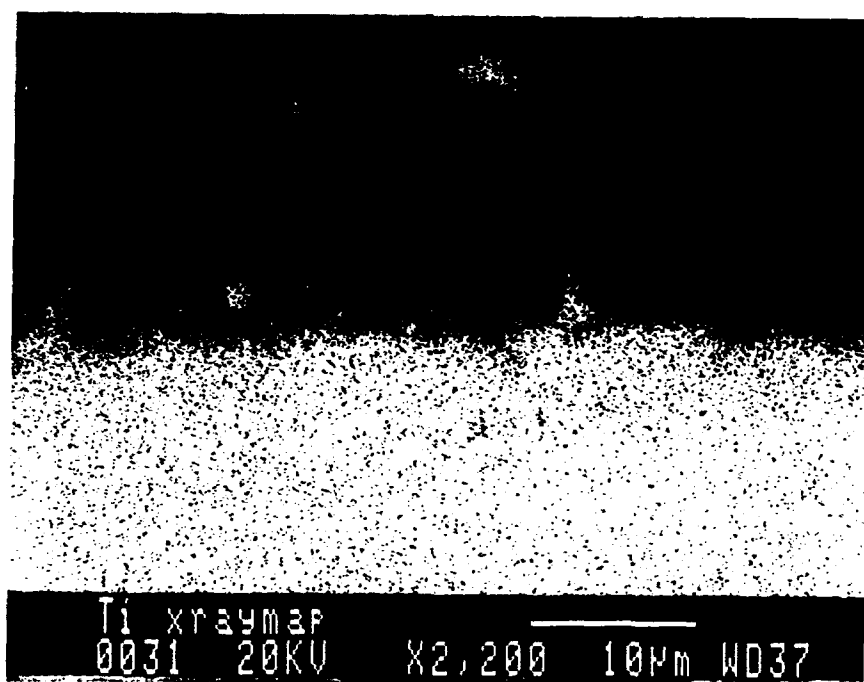
(a)



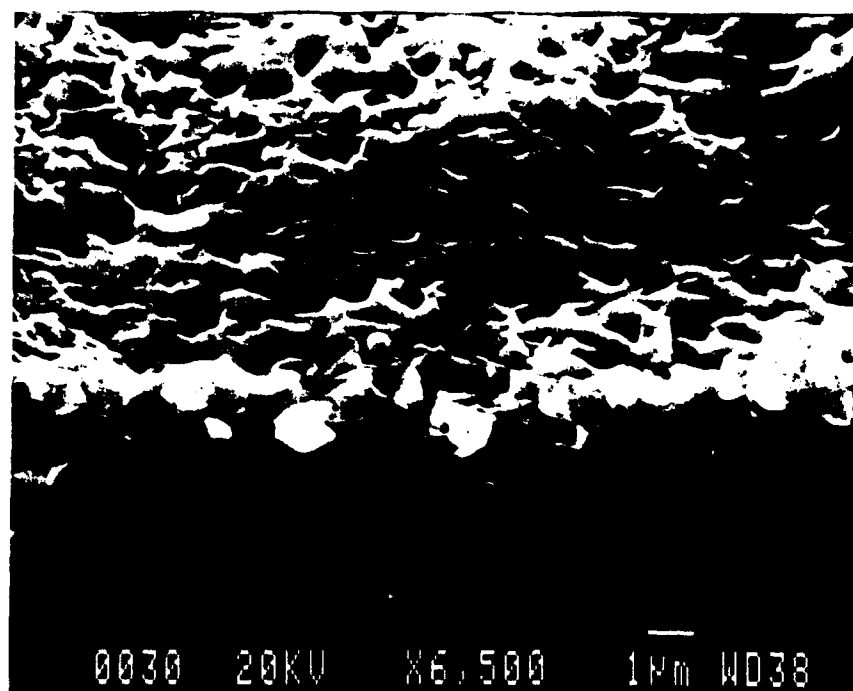
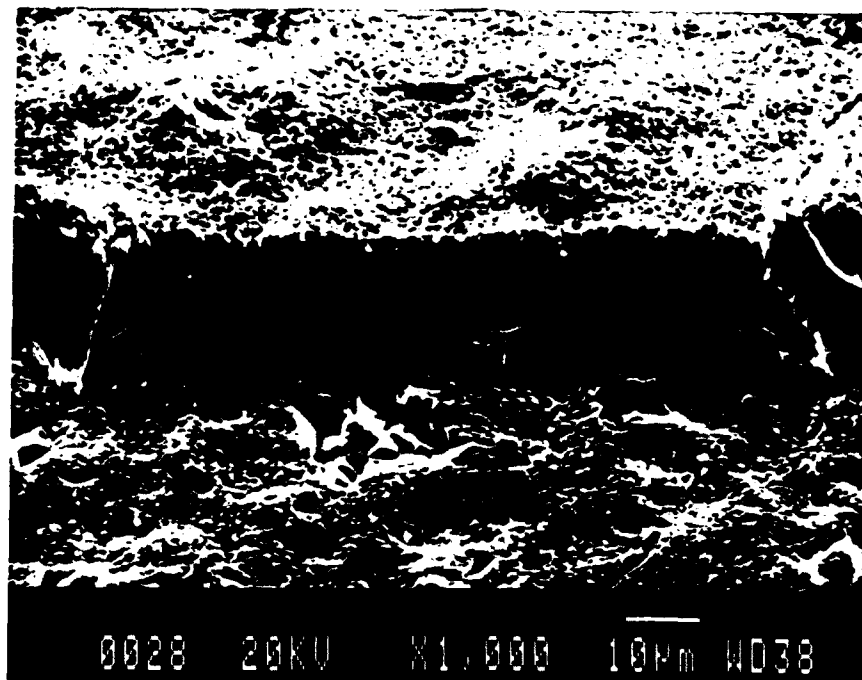
(b)

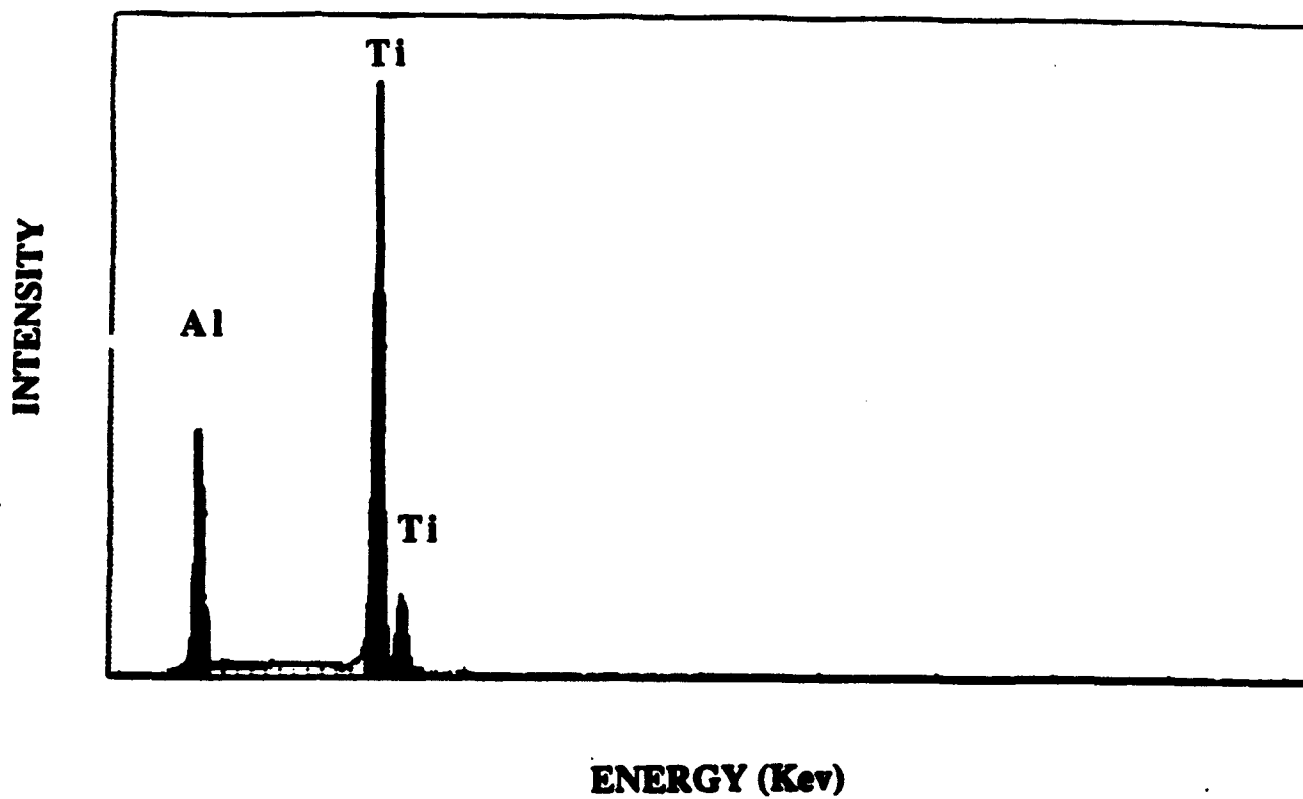


(a)

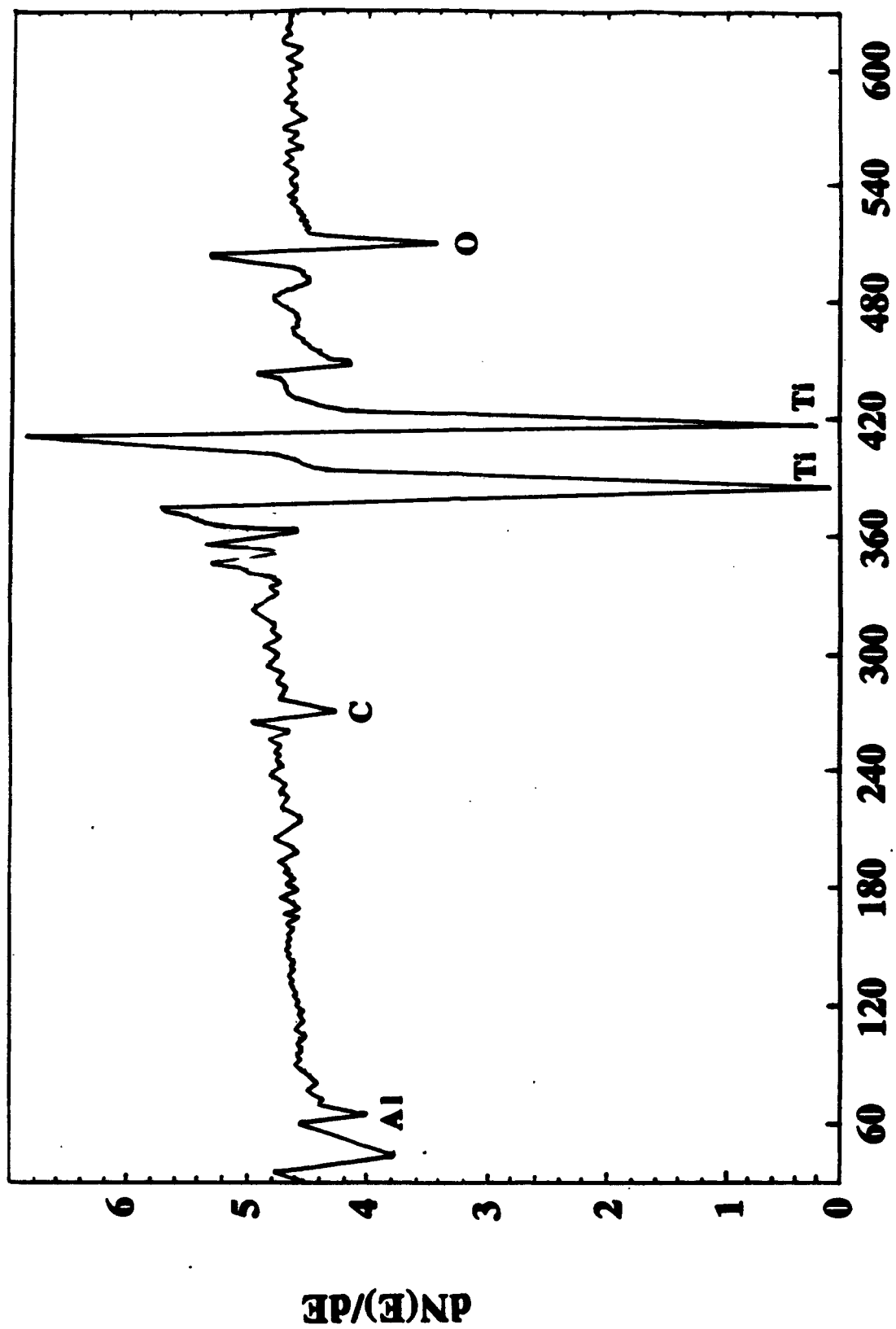


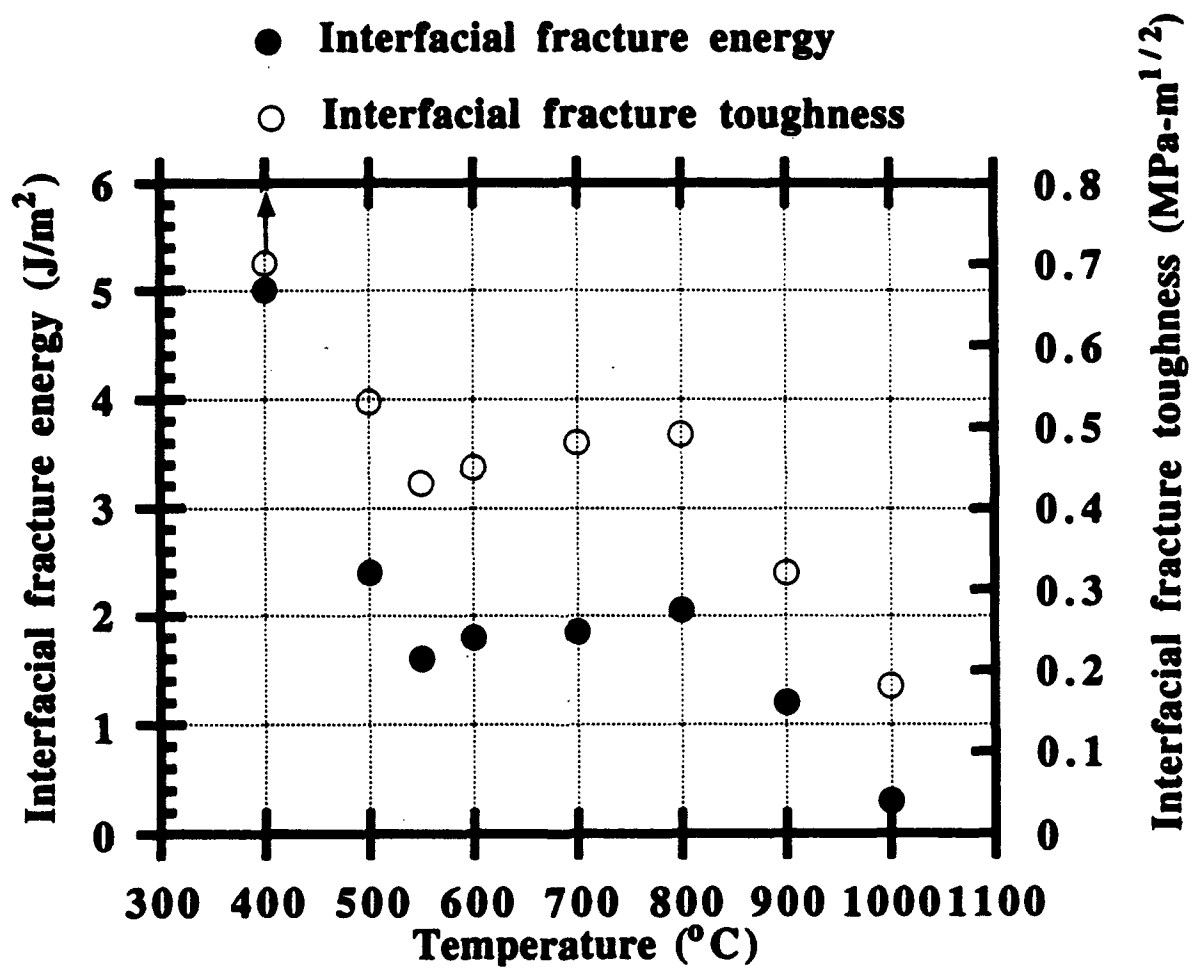
(b)



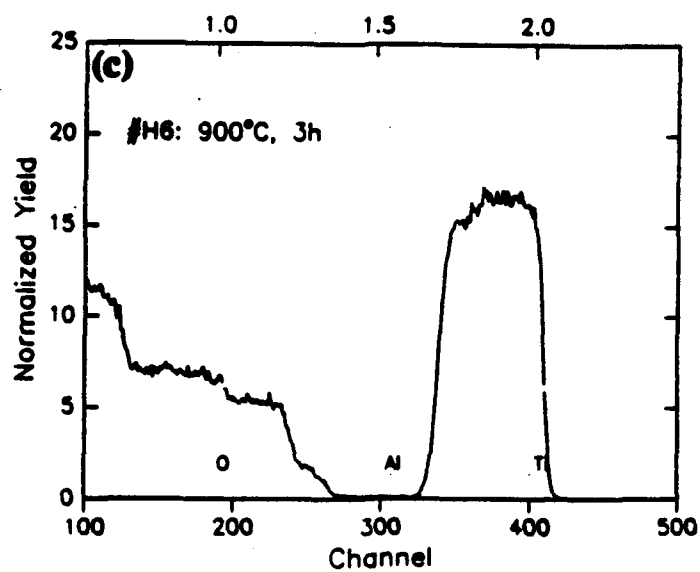
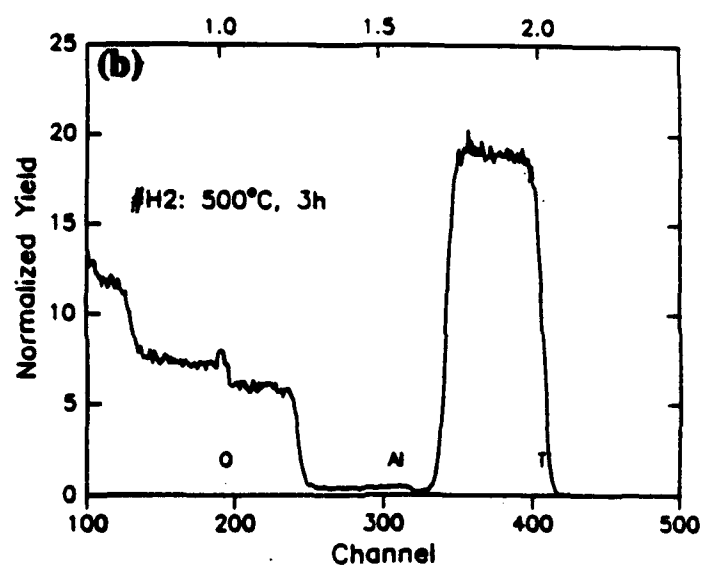
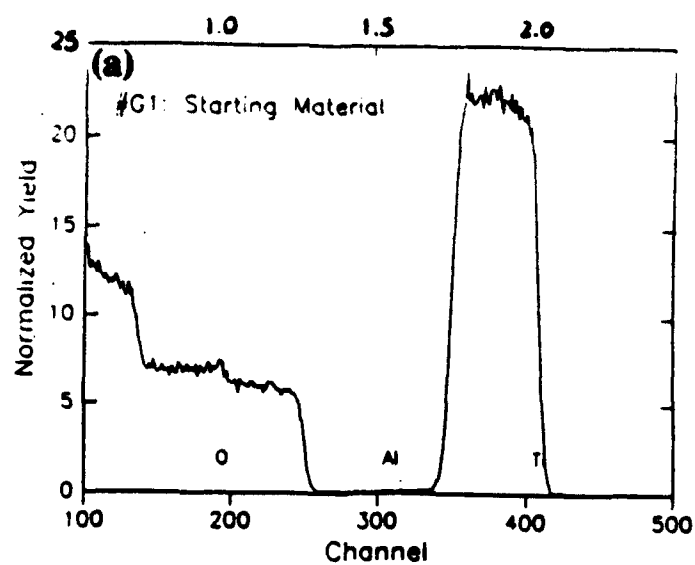


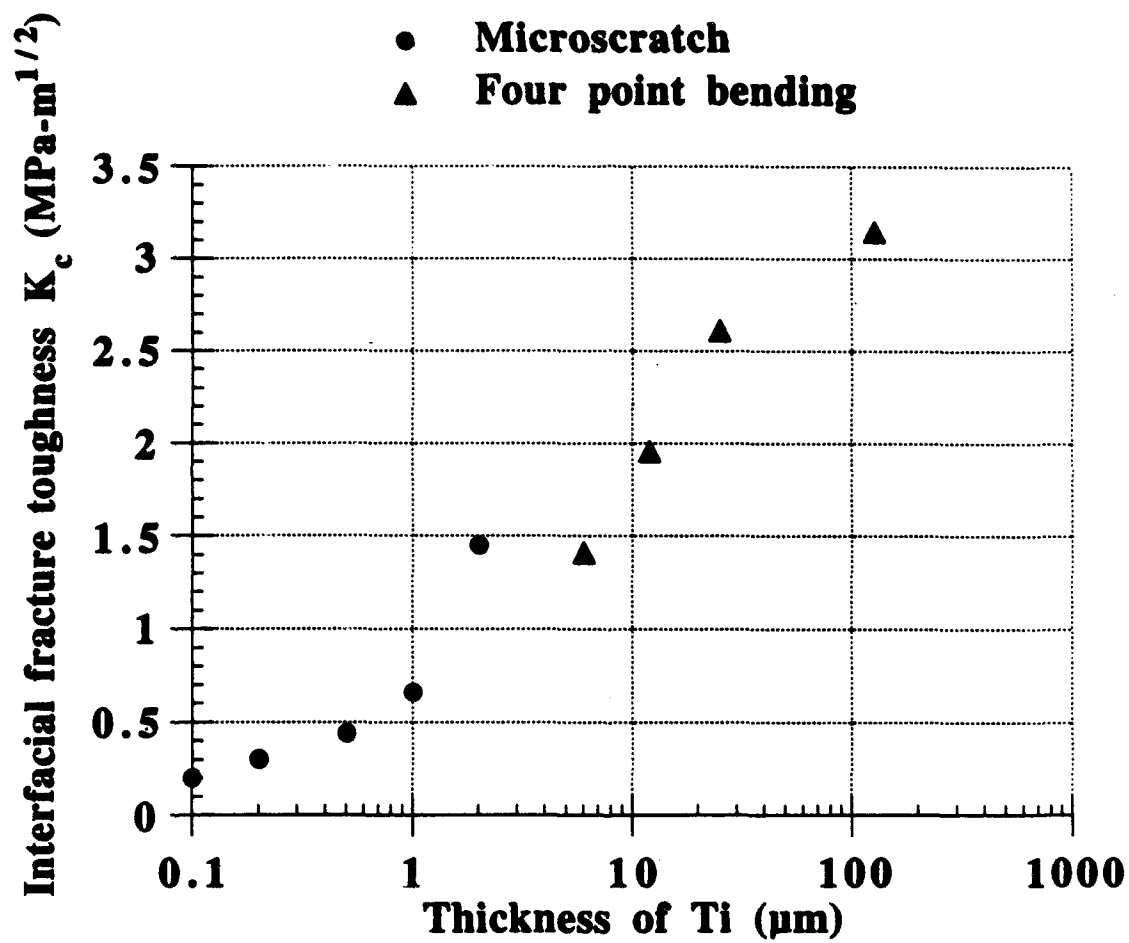
	Atom %
Ti	74.21
Al	25.79











Part III:

## **Effect of a Diffusion Barrier on The Interfacial Chemistry and Mechanical Properties in Ti/Al<sub>2</sub>O<sub>3</sub> Composites**

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## **ABSTRACT**

The interfacial fracture energy of Ti/Al<sub>2</sub>O<sub>3</sub> composites was measured with and without a diffusion barrier at different bonding temperatures by using four point bending tests. The diffusion barrier consists of a refractory metal/Y<sub>2</sub>O<sub>3</sub> duplex coating. Hot Isostatic Press diffusion bonding was used to prepare sandwich specimens with symmetric precracks for the tests. The interfacial fracture energy was found to increase with increasing bonding temperature up to 950°C. The interfacial fracture energy drops when the bonding temperature was further raised to 1000°C. It was found that the decrease of the interfacial fracture energy is due to the formation of the intermetallic compound, Ti<sub>3</sub>Al. By using a diffusion barrier, the interfacial fracture energy decreases from 25.4 to near 0 J/m<sup>2</sup> and 32.9 to 8.7 J/m<sup>2</sup> for applied bonding temperatures of 800 and 900°C, respectively. This is because the barrier reduced the diffusion of Al across the interface and into the Ti, thereby preventing a strong chemical bond. The crack propagation was found to occur at the interface between the Ti and Al<sub>2</sub>O<sub>3</sub>. By performing fiber pushout tests, the interfacial frictional stress and interfacial fracture energy of the Al<sub>2</sub>O<sub>3</sub> fiber reinforced Ti matrix composite were measured to be 312MPa and 0.13 J/m<sup>2</sup>, respectively. Note that the interfacial fracture energy measured from the pushout test is smaller than that from the bending tests under the similar processing condition. This is partially due to the surface roughness of the Al<sub>2</sub>O<sub>3</sub> fiber being an order of magnitude smaller than that of the Al<sub>2</sub>O<sub>3</sub> plate. It is also partially due to the greater plastic energy dissipation in the four-point bending sample compared to the pushout tests, a factor one needs to be aware of when comparing interfacial fracture energies from different specimen types.

## 1. INTRODUCTION

It is known that the fiber/matrix interface has a dominant effect on the overall mechanical properties of fiber composites<sup>1-4</sup>. Interfacial mechanical properties and chemistry play a very important role in determining the overall mechanical properties of composites. Generally, for a composite with high fracture toughness, it is a prerequisite that the fiber/matrix interface must be "weak" to achieve interfacial debonding and sliding when the composite is under tensile loading<sup>5</sup>. The mechanism of fracture depends on the fracture energy of the constituents, interfacial fracture energy, elastic mismatch and phase angle of loading<sup>6,7</sup>.

Moreover, degradation of the interfaces caused by the diffusion of the constituents during the fabrication process at a high bonding temperature and the formation of reaction products is observed in many metal/ceramic systems, like Ti/Al<sub>2</sub>O<sub>3</sub> and Ti/SiC<sup>8-11</sup>. In order to maintain the chemical integrity and stability of the metal/ceramic interface, the use of a diffusion barrier is necessary.

The present study focuses on the control of the interfacial mechanical properties in Ti/Al<sub>2</sub>O<sub>3</sub> composites with and without a diffusion barrier for different applied bonding temperatures. Systematic measurements of the interfacial fracture energy were accomplished by using four point bending tests of sandwich specimens with symmetric precracks (phase angle of loading =  $4/\pi$ )<sup>6</sup>. Prior to testing, the composites were bonded at temperatures from 700 to 1000°C. Modification of the interface is also achieved with a diffusion barrier consisting of a refractory metal and Y<sub>2</sub>O<sub>3</sub> duplex coating where the Y<sub>2</sub>O<sub>3</sub> coating is in contact with the Ti matrix. This diffusion barrier is proprietary to 3M company. The Y<sub>2</sub>O<sub>3</sub> is known to be thermodynamically stable with Ti and offers low reactant transport rates<sup>12,13</sup>. Because the Y<sub>2</sub>O<sub>3</sub> is very brittle, it is prone to cracking under mechanical and thermal stresses. Therefore, the refractory metal coating is used to reduce the stress at the interface and protect the Y<sub>2</sub>O<sub>3</sub>. Microstructural and chemical analysis of the sandwich composites with and without the diffusion barrier were performed by using

Scanning Electron Microscopy (SEM), Energy Dispersive X-ray Analysis (EDAX), X-ray mapping, X-ray Photoelectron Spectroscopy (XPS) and X-ray diffraction. Characterization of the as-sputtered diffusion barrier was accomplished. The surface roughness of the  $\text{Al}_2\text{O}_3$  was measured using a profilometer and an atomic force microscope. To test the diffusion barrier, chemical analysis and fiber pushout tests of an  $\text{Al}_2\text{O}_3$  fiber reinforced Ti  $\beta$ -21S alloy composite was performed where the composition of the Ti  $\beta$ -21S alloy is Ti-15Mo-3Nb-3Al-0.2Si. The correlation between the interfacial fracture energy and the surface roughness of the  $\text{Al}_2\text{O}_3$  is also discussed.

## 2. EXPERIMENTAL

### 2.1. Sputtering condition

The refractory metal and the  $\text{Y}_2\text{O}_3$  were deposited separately onto  $\text{Al}_2\text{O}_3$  substrates by r.f. sputtering. Prior to deposition, the substrates were cleaned with isopropyl alcohol in an ultrasonic cleaner for 20 min. These were then placed in the sputtering chamber. After evacuating the chamber to a background pressure  $< 5 \times 10^{-7}$  Torr, sputtering was performed at an Ar pressure of 10 mTorr, with a power density of 16  $\text{W}/\text{cm}^2$  for the refractory metal and 14.8  $\text{W}/\text{cm}^2$  for the  $\text{Y}_2\text{O}_3$ . The substrate temperature during deposition was not more than 80 °C. During deposition, with a deposition rate of about 70 Å/min for 1 hr, the distance between the target and the substrate was maintained at 75mm. This produced a final thickness of each coating of 0.4  $\mu\text{m}$ .

### 2.2. Sample preparation conditions

The test specimen which served as a model system to evaluate the interfacial fracture energy consists of a bimaterial beam with symmetric precracks, as shown in Fig.1. To make sandwich specimens without the diffusion barrier for the four point bending tests, they were prepared as follows: thin foils of Ti (99.8% pure) and  $\text{Al}_2\text{O}_3$  plates were immersed in isopropyl alcohol for 10 min and then cleaned in an ultrasonic

cleaner for 20 min. The thickness of the Ti foils is 25  $\mu\text{m}$ . They were sandwiched with a 3mm gap between the two foils to form a precrack, wrapped with Ta foils and encapsulated in glass containers evacuated to  $10^{-6}$  torr. They were then Hot Isostatic Press (HIP) bonded in an Ar gas atmosphere. Specimens were first heated to the softening point of the glass under a pressure of 1.75 MPa for 30 min and then pressure and temperature were simultaneously raised to 7 MPa and a fixed temperature which ranged from 700°C to 1000°C. The sandwich specimens with the diffusion barrier were also bonded with applied bonding temperatures of 800°C and 900°C. The bonding time was fixed at 1hr. After HIPing, a notch was cut in the sandwich specimen from the  $\text{Al}_2\text{O}_3$  side with a low speed diamond saw. Because of the gap between the foils, this forms a well defined precrack.

The specimens were placed in a four point bending fixture mounted on an MTS machine with load and displacement being recorded with a chart recorder during the test. A critical load drop marks the propagation of a crack along the interface between Ti and  $\text{Al}_2\text{O}_3$ . This critical load was used to calculate the interfacial fracture toughness. The details of the calculation are described elsewhere<sup>14</sup>. After the four point bending tests were completed, the specimens were cut perpendicular to the bonding interface with a low speed diamond saw. The exposed cross section was then polished to facilitate microstructural observation and chemical analysis.

### 2.3. Instruments for microstructural and chemical analysis

Before the HIPing process, the  $\text{Y}_2\text{O}_3$  coatings were characterized by a Perkin-Elmer PHI 5400 ESCA system with a background pressure of less than  $5 \times 10^{-10}$  for X-ray Photoelectron Spectroscopy (XPS) analysis. The resolution is 0.2 eV. A surface layer of 100 Å was removed by sputter etching before the analysis. A Digital Instruments Nanoscope III atomic force microscope was used for the surface roughness measurement with lateral resolution 5 Å and vertical resolution 1 Å. Microstructural observations and

chemical analyses of the sandwich composites after the HIPing were conducted by Scanning Electron Microscopy (SEM), Energy Dispersive X-ray Analysis (EDAX), X-ray mapping by using a JOEL 840 Scanning Electron Microscope at 20 KV. X-ray diffraction was performed using a Siemens D-500 with a Cu K $\alpha$  radiation source.

### 3. RESULTS AND DISCUSSION

#### 3.1 Criterion for interfacial debonding

As shown in Fig. 2, there is a matrix crack which propagates and meets the fiber. Whether the crack propagates across the fiber or along the interface is determined by the critical value  $G_{ic}/G_f$ , where  $G_{ic}$  is the critical mixed mode interfacial fracture energy (phase angle of loading  $\approx 4/\pi$ ) for fiber debonding and  $G_f$  is the fracture energy of the fiber (40 J/m<sup>2</sup> for Al<sub>2</sub>O<sub>3</sub>). The critical value is dependent on the elastic mismatch  $\alpha$ .

$$\alpha = \frac{\mu_1(1 - \nu_2) - \mu_2(1 - \nu_1)}{\mu_1(1 - \nu_2) + \mu_2(1 - \nu_1)}$$

with  $\mu$  being the shear modulus,  $\nu$  Poisson's ratio and the subscript 1 and 2 referring to materials 1 and 2 respectively.

Plane strain calculation shows that interfacial debonding occurs provided  $G_i/G_f$  is smaller than  $G_{ic}/G_f$  where  $G_i$  is the measured interfacial fracture energy<sup>1</sup>. The elastic mismatch  $\alpha$  for Ti/Al<sub>2</sub>O<sub>3</sub> is 0.5, which gives the ratio  $G_{ic}/G_f = 0.45$  from Fig.2. That means  $G_i$  must be smaller than  $G_{ic}(=18 \text{ J/m}^2)$  to meet the prerequisite for interfacial debonding for Al<sub>2</sub>O<sub>3</sub> fiber reinforced Ti matrix composites.

#### 3.2. Characterization of the Y<sub>2</sub>O<sub>3</sub> coating and the coated Al<sub>2</sub>O<sub>3</sub> fiber

The characterization of the Y<sub>2</sub>O<sub>3</sub> coating is shown in Fig.3. After the deconvolution, there is a main peak with a binding energy of 156.6 eV. This value is close to the Y<sup>3+</sup> 3d<sup>5/2</sup> peak in Y<sub>2</sub>O<sub>3</sub> which is 156.4 eV<sup>15</sup>. That means a pure Y<sub>2</sub>O<sub>3</sub> oxide



layer was formed on the  $\text{Al}_2\text{O}_3$  after the sputtering process. This  $\text{Y}_2\text{O}_3$  coating has also been confirmed by using X-ray diffraction which shows a strong  $\text{Y}_2\text{O}_3$  (101) peak. The root-mean-square surface roughness of the diffusion barrier coated  $\text{Al}_2\text{O}_3$  fiber is 18.8 nm which was measured using an atomic force microscope.

### 3.3. Analysis of Ti/ $\text{Al}_2\text{O}_3$ sandwich composites

By using a profilometer, the root-mean-square surface roughness of the as-received  $\text{Al}_2\text{O}_3$  plate is 0.3  $\mu\text{m}$ . The interfacial fracture energy was measured at different applied bonding temperatures for a 25  $\mu\text{m}$  thickness Ti interlayer. The relationship between interfacial fracture energy of the Ti/ $\text{Al}_2\text{O}_3$  composites and bonding temperature is shown in Fig.4. The interfacial fracture energy of the composite without the diffusion barrier increases from near 0 to 34.1  $\text{J/m}^2$  as the bonding temperature increases from 700 to 950°C. It appeared that there was no bonding strength between the Ti and  $\text{Al}_2\text{O}_3$  when the applied bonding temperature is 700°C. This is because a thin native Ti oxide layer formed on the Ti surface before the diffusion bonding process which prevents the diffusion of the constituent atoms to form a chemical bonding during the HIPing. Alternatively, there could have been some weak bonding which failed on cooling due to thermal expansion mismatch stress. At higher temperature, the Ti is softer which allows the asperities of the  $\text{Al}_2\text{O}_3$  surface to break the Ti oxide and come in contact with the Ti metal<sup>16-18</sup>. Thus, the Al ions can diffuse into Ti metal to form a strong chemical bond which results in higher interfacial fracture energy. However, the interfacial fracture energy drops to 16.9  $\text{J/m}^2$  when the bonding temperature was raised to 1000°C. The SEM cross-sectional view of a sandwich specimen which was bonded at 1000°C is shown in Fig. 5(a). A reaction layer is found to exist between Ti and  $\text{Al}_2\text{O}_3$ . The backscattered electron image shows a slight contrast difference between the reaction layer and the Ti (Fig. 5(b)). From the X-ray map, the diffusion of Al into Ti is seen to be much faster than that of Ti into  $\text{Al}_2\text{O}_3$ . The diffusion distance of Al is more than 5 $\mu\text{m}$ . The composition of the

reaction product at the interface was analyzed by using EDAX with the semi-quantitative data being shown in Fig. 6. From the measured atomic % of Ti and Al, the reaction layer between Ti and  $\text{Al}_2\text{O}_3$  is identified as  $\text{Ti}_3\text{Al}$ . The XPS analysis of the reaction product shows the same result. Because the  $\text{Ti}_3\text{Al}$  intermetallic compound is very brittle<sup>19,20</sup>, it will reduce the fracture energy of the Ti/ $\text{Al}_2\text{O}_3$  interface. It is expected that when the bonding temperature is  $1000^\circ\text{C}$ , a great deal of  $\text{Ti}_3\text{Al}$  is produced which will decrease the interfacial fracture energy of Ti/ $\text{Al}_2\text{O}_3$  composites. The interfacial fracture energy of the composites with the refractory metal/  $\text{Y}_2\text{O}_3$  diffusion barrier was found to be near 0 and  $8.7 \text{ J/m}^2$  at applied bonding temperatures of  $800$  and  $900^\circ\text{C}$  respectively. These values are much smaller than that without the diffusion barrier at the same bonding temperature. The diffusion barrier significantly reduces the interfacial fracture energy from  $25.4$  to near  $0 \text{ J/m}^2$  at  $800^\circ\text{C}$  and  $32.9$  to  $8.7 \text{ J/m}^2$  at  $900^\circ\text{C}$ . The interfacial fracture energy of the composites with the diffusion barrier is seen to meet the basic requirement for interfacial debonding (smaller than  $G_{\text{ic}}=18 \text{ J/m}^2$ ). By performing the X-ray mapping of the sandwich composite with the diffusion barrier, the effect of the diffusion barrier is seen to decrease the diffusion of the Al ions across the interface and into the Ti to form the chemical bonding. Therefore, it reduces the interfacial fracture energy. There is some interdiffusion of Al, Ti, the refractory metal and Y during the HIPing which produces the diffuse interfaces in the Ti/ $\text{Al}_2\text{O}_3$  system.

Typical cross-section view of the composite without the diffusion barrier before and after the four point bending tests is shown in Fig. 7. It is clearly seen that the failure process occurred at the interface between the Ti and  $\text{Al}_2\text{O}_3$ . The SEM observation of the Ti and  $\text{Al}_2\text{O}_3$  fracture surface after the four point bending also confirms an interfacial fracture process. For the sandwich composite without the diffusion barrier and bonded at  $900^\circ\text{C}$ , many  $\text{Ti}_3\text{Al}$  nodules were found on the Ti and  $\text{Al}_2\text{O}_3$  fracture surface, as shown in Fig.8. The fracture surface of the composite with the diffusion barrier shows a different feature (Fig. 9). A thin layer of the diffusion barrier is seen on the Ti and  $\text{Al}_2\text{O}_3$  fracture surface.

EDAX analysis of the Ti fracture surface shows a strong Ti peak, a weak refractory metal peak, a weak Y peak and a very weak Al peak. The presence of the Al peak in the spectrum of the Ti fracture surface means that there is still some diffusion of the Al into the diffusion barrier during the HIPing process. For the  $\text{Al}_2\text{O}_3$  fracture surface, there is a strong Al peak, a weak refractory metal peak and a weak Y peak. From these results, it is seen that the crack propagates in the diffusion barrier between the Ti and  $\text{Al}_2\text{O}_3$  and it does not follow a well defined interface such as Ti/ $\text{Y}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ /refractory metal or refractory metal/ $\text{Al}_2\text{O}_3$ .

#### 2.4. Analysis of an $\text{Al}_2\text{O}_3$ fiber reinforced $\beta$ -21S Ti matrix composite

To evaluate the chemical stability of the refractory metal/ $\text{Y}_2\text{O}_3$  duplex diffusion barrier in a practical application, an  $\text{Al}_2\text{O}_3$  fiber reinforced  $\beta$ -21S Ti matrix composite prepared by the HIPing at  $900^\circ\text{C}$  was analyzed with SEM and X-ray mapping, as shown in Fig. 10. The interfiber spacing in the composite is about  $10\text{ }\mu\text{m}$ . The fiber (diameter  $=10\text{ }\mu\text{m}$ ) is uniformly coated with the refractory metal/ $\text{Y}_2\text{O}_3$  duplex diffusion barrier. From the Al x-ray mapping (Fig 10(a)), it is shown that the diffusion distance of the Al into the Ti is about  $0.5\text{-}0.7\text{ }\mu\text{m}$ . This is considerably smaller than that without the diffusion barrier which is  $5\text{ }\mu\text{m}$  under similar processing conditions. From the Ti X-ray mapping, the diffusion of Ti into  $\text{Al}_2\text{O}_3$  is very limited. There is a small amount of diffusion of the refractory metal and Y (Fig. 10(c),(d)), although they mostly remain at the fiber/matrix interface.

The refractory metal / $\text{Y}_2\text{O}_3$  duplex diffusion barrier reduces the chemical reaction between Ti and  $\text{Al}_2\text{O}_3$  and prevents the formation of the  $\text{Ti}_3\text{Al}$  intermetallic compound. Therefore, it maintains the chemical stability and integrity of the Ti/ $\text{Al}_2\text{O}_3$  interface. Modification of the interfacial mechanical properties with this diffusion barrier seems feasible.

The interfacial frictional stress and interfacial fracture energy in the  $\text{Al}_2\text{O}_3$  fiber reinforced  $\beta$ -21S Ti matrix composite were measured by using the fiber pushout tests. After the pushout test, a micrograph of the top and bottom of the composite after the pushout tests is shown in Fig.11. The interfacial frictional stress and interfacial fracture energy were calculated to be 312 MPa and 0.13 J/m<sup>2</sup> respectively. The details of the experimental procedures and the calculation are described elsewhere<sup>21</sup>. The measured interfacial fracture energy is smaller than the 8.7 J/m<sup>2</sup> value measured for similar processing conditions using the four-point bending tests. This is partially due to the difference in the surface roughness of the  $\text{Al}_2\text{O}_3$  fiber (18.8nm) for the fiber pushout tests and that of the  $\text{Al}_2\text{O}_3$  plates (0.3 $\mu\text{m}$ ) for the bending tests. It is known that the surface roughness induces crack tip shielding by means of friction and locking during the crack propagation process and thereby increases the interfacial fracture energy<sup>22</sup>. It is also partially due to the greater plastic energy dissipation in the four-point bending sample compared to the pushout tests, a factor one needs to be aware of when comparing interfacial fracture energies from different specimen types. The interfacial fracture energy measured from the fiber pushout tests meets the prerequisite for interfacial debonding in fiber composites.

#### 4. CONCLUSIONS

The interfacial fracture energy increases from near 0 to 34.1 J/m<sup>2</sup> as the applied bonding temperature is changed from 700 to 950°C. It then decreases when the temperature is further raised to 1000°C. The degradation of the composite at 1000°C is due to the formation of the intermetallic compound,  $\text{Ti}_3\text{Al}$ . With a refractory metal/ $\text{Y}_2\text{O}_3$  diffusion barrier, the interfacial fracture energy drops from 25.4 to near 0 J/m<sup>2</sup> and 32.9 to 8.7 J/m<sup>2</sup> for bonding temperatures of 800 and 900°C respectively. The fracture process occurs at the Ti/ $\text{Al}_2\text{O}_3$  interface. By analyzing the  $\text{Al}_2\text{O}_3$  fiber reinforced Ti matrix composites, it is seen that the diffusion barrier reduces the diffusion of the Al into Ti.

This decreases the degree of the chemical bonding and prevents the formation of the  $Ti_3Al$ . Thus, the chemical integrity and stability of the  $Ti/Al_2O_3$  interface can be maintained throughout the HIPing process. This provides a useful metal/ceramic duplex coating for the  $Al_2O_3$  fiber reinforced Ti matrix composites for high fracture toughness. The interfacial frictional stress and interfacial fracture energy of the fiber composite were measured to be 312 MPa and 0.13 J/m<sup>2</sup> respectively. The difference in the interfacial fracture energy measured from the bending tests and the pushout tests is due to the difference in the measured surface roughness of the  $Al_2O_3$  fiber and  $Al_2O_3$  plate and the volume of metal undergoing plastic energy dissipation.

## ACKNOWLEDGMENTS

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## LIST OF FIGURES

Fig. 1. Specimen geometry for the four-point bending tests.

Fig. 2. Debonding or fiber failure in fiber composites<sup>1</sup>.

Fig. 3. XPS analysis of the as-sputtered  $Y_2O_3$  coating.

Fig. 4. The relationship between the interfacial fracture energy and the applied bonding temperature.

Fig. 5. SEM micrograph of the cross-section view of the Ti/ $Al_2O_3$  composites. (a) SEI image. (b) BSE image.

Fig. 6. EDAX analysis of the reaction layer.

Fig. 7. SEM micrograph of the composites. (a) before the bend tests. (b) after the bend tests.

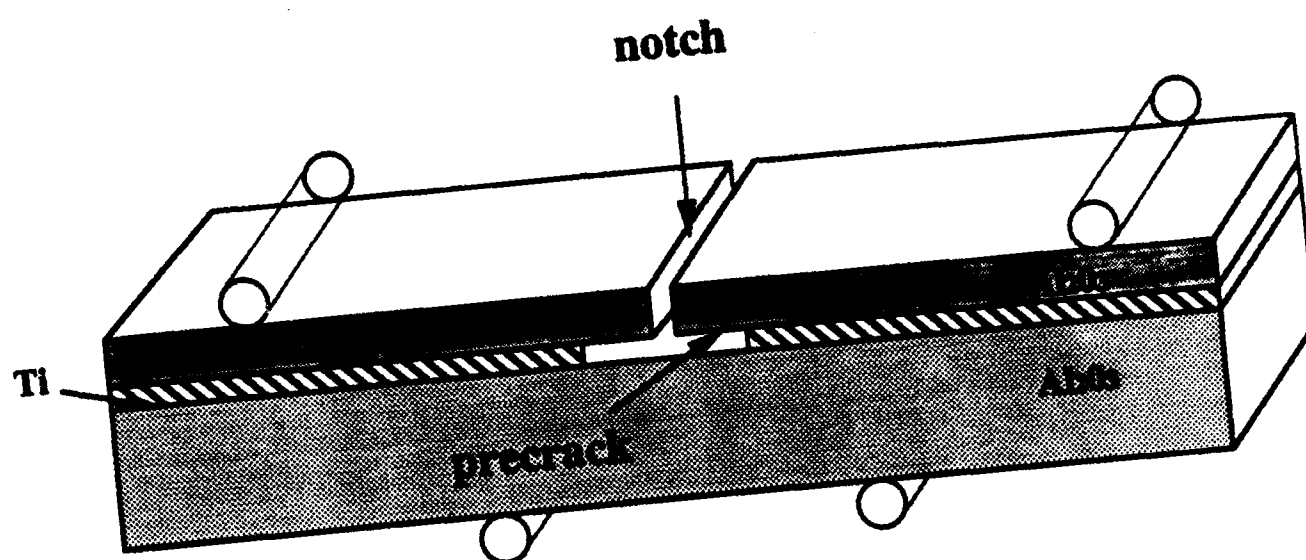
Fig. 8. SEM micrograph of the fracture surfaces of the composites (without the diffusion barrier) after the bend tests. (a)  $Al_2O_3$  fracture surface. (b) Ti fracture surface.

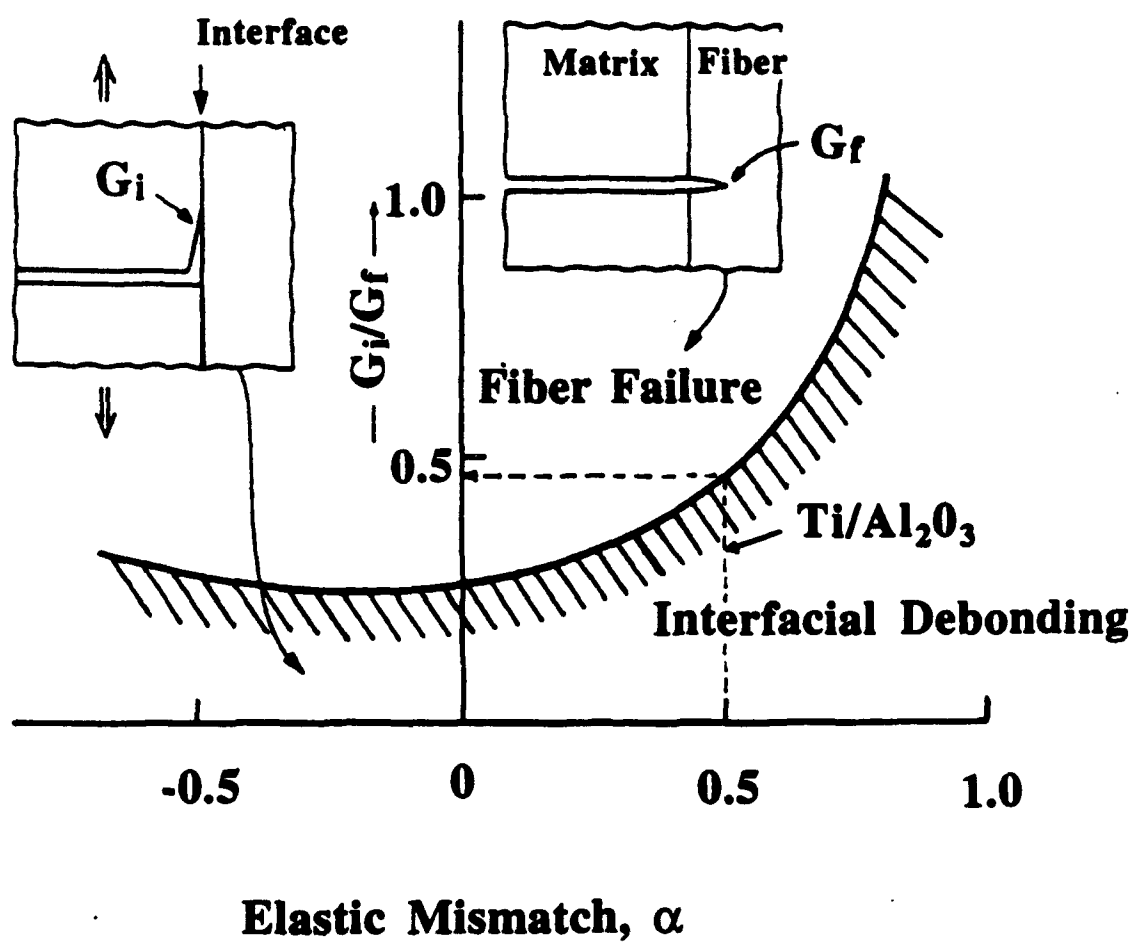
Fig. 9. SEM micrograph of the fracture surfaces of the composites (with the diffusion barrier) after the bend tests. (a)  $Al_2O_3$  fracture surface. (b) Ti fracture surface.

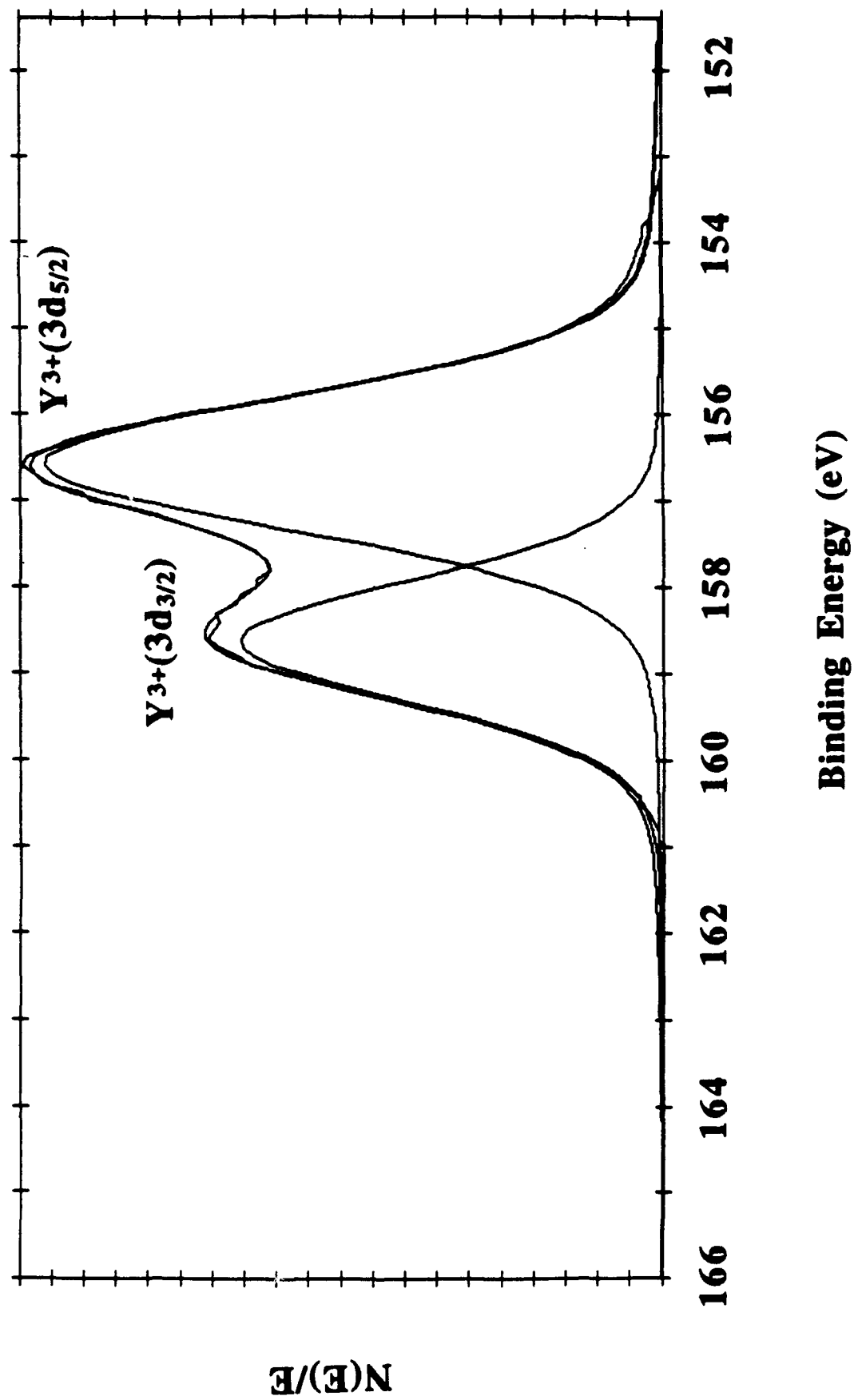
Fig. 10. SEM micrograph of the  $Al_2O_3$  fiber reinforced Ti matrix composites (a) SEI image. (b) X-ray mapping for Al. (c) refractory metal X-ray mapping. (d) X-ray mapping for Y.

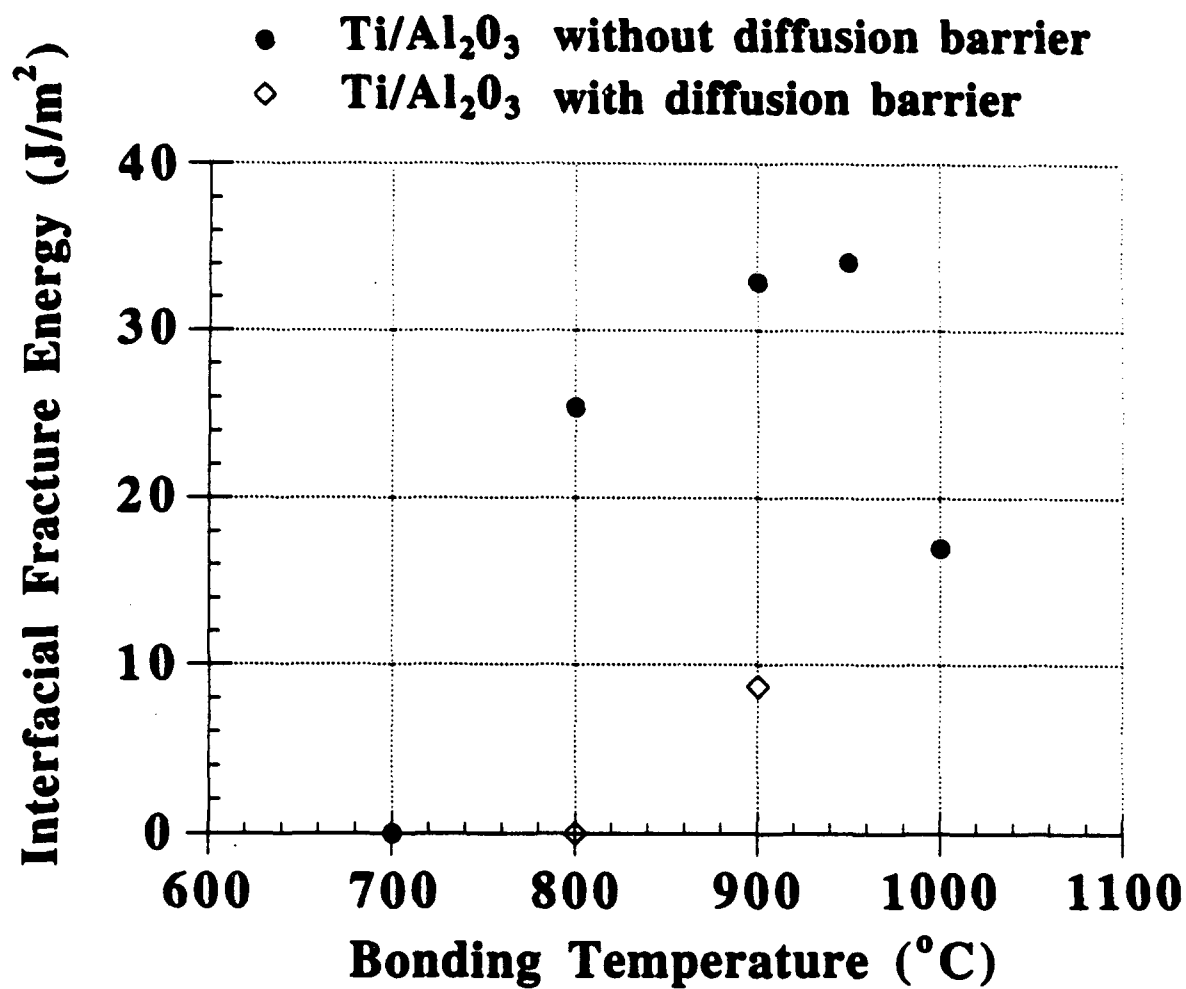
Fig. 11. The morphology of the fiber composite after the pushout test. (a) The indented fiber. (b) The pushed-out fiber.

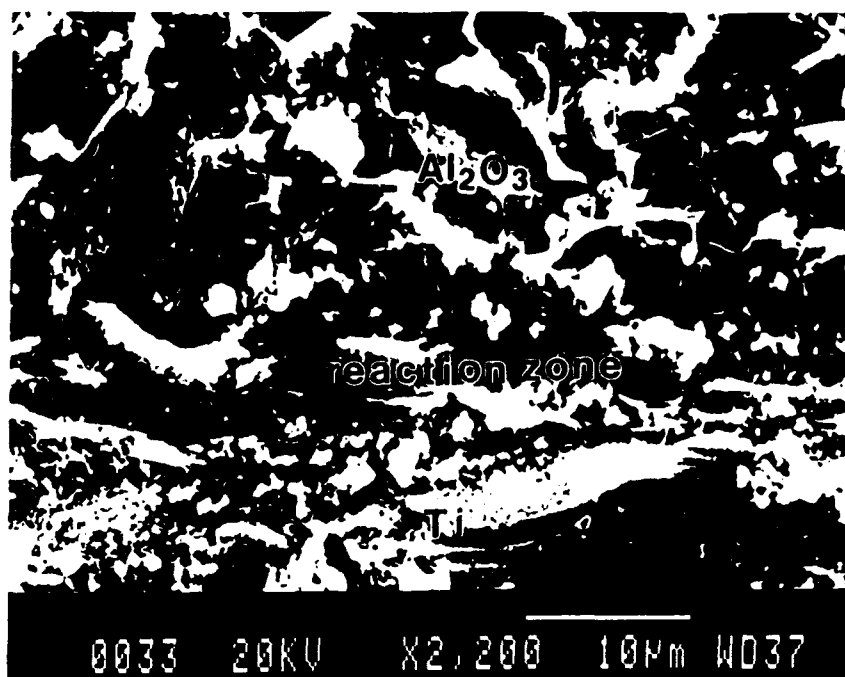




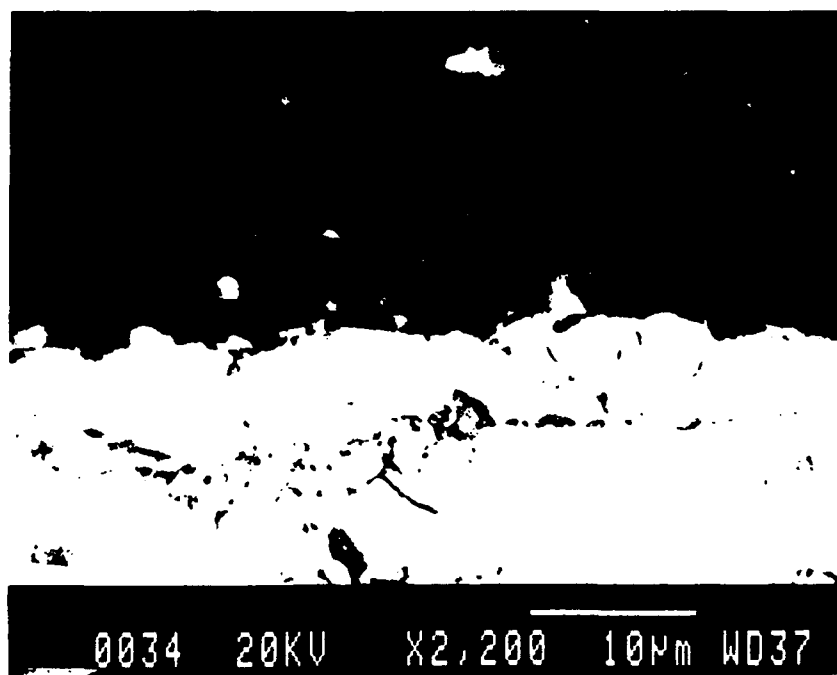




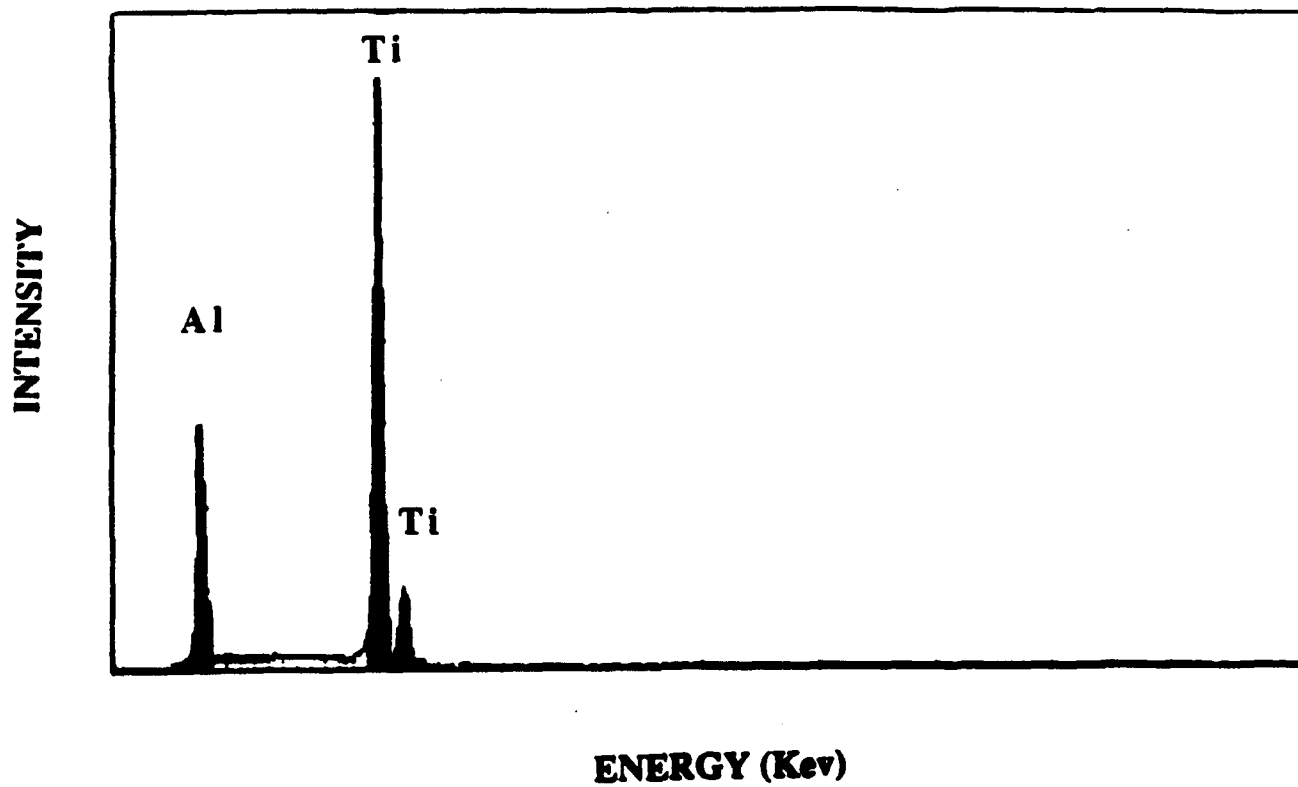




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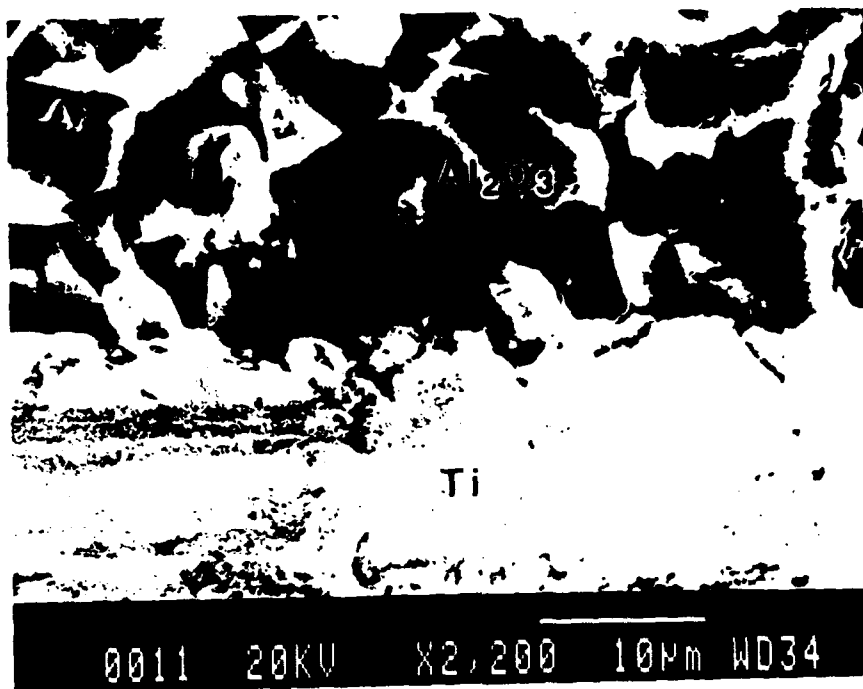


(b)

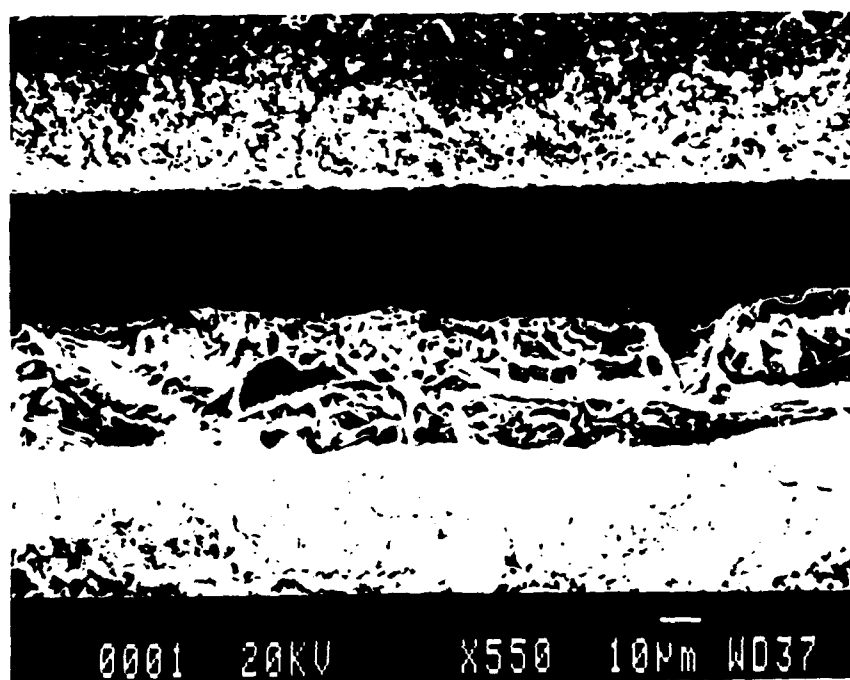


	Atom %
Ti	74.21
Al	25.79

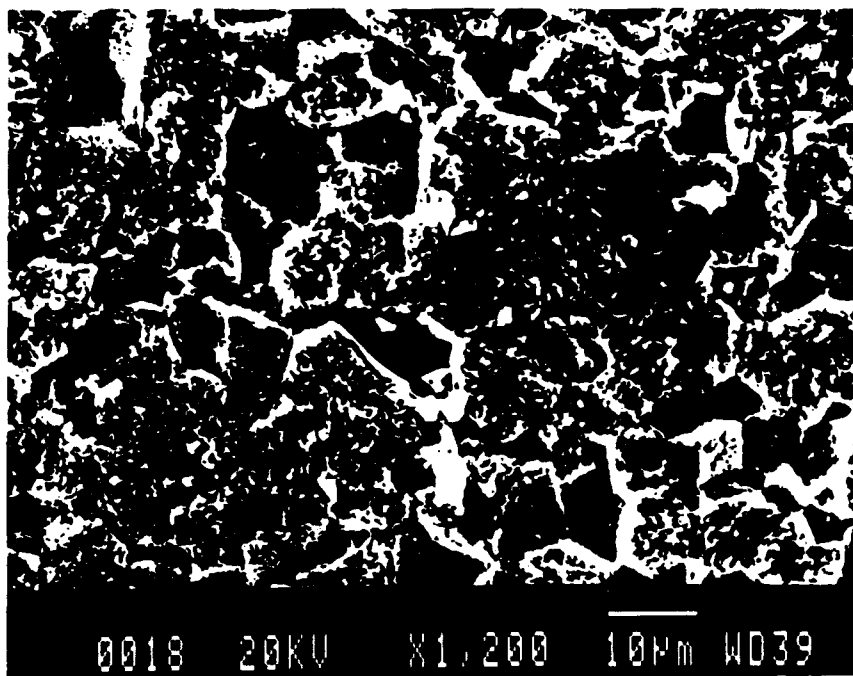
⇒ **Ti<sub>3</sub>Al**



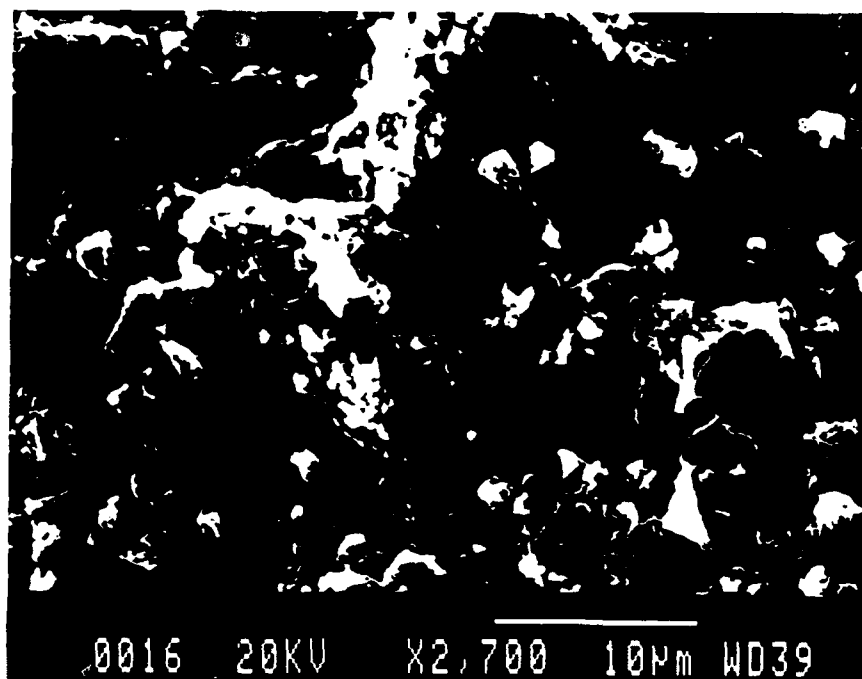
(a)



(b)

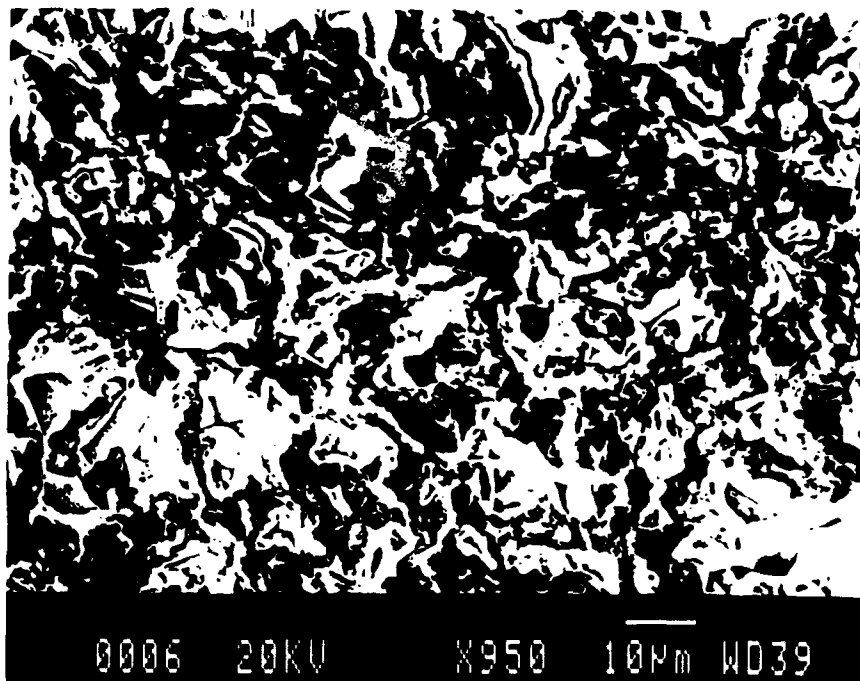


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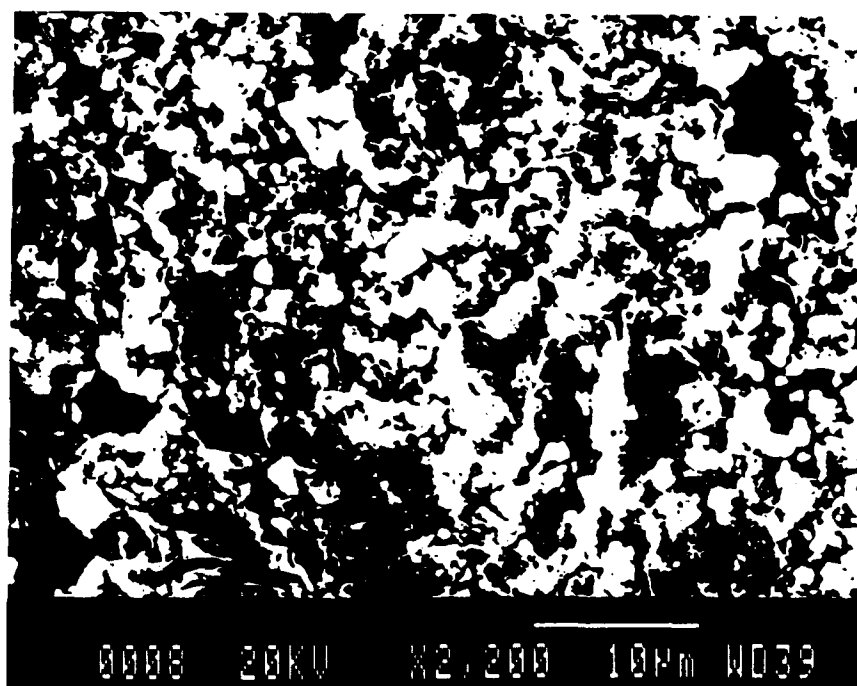


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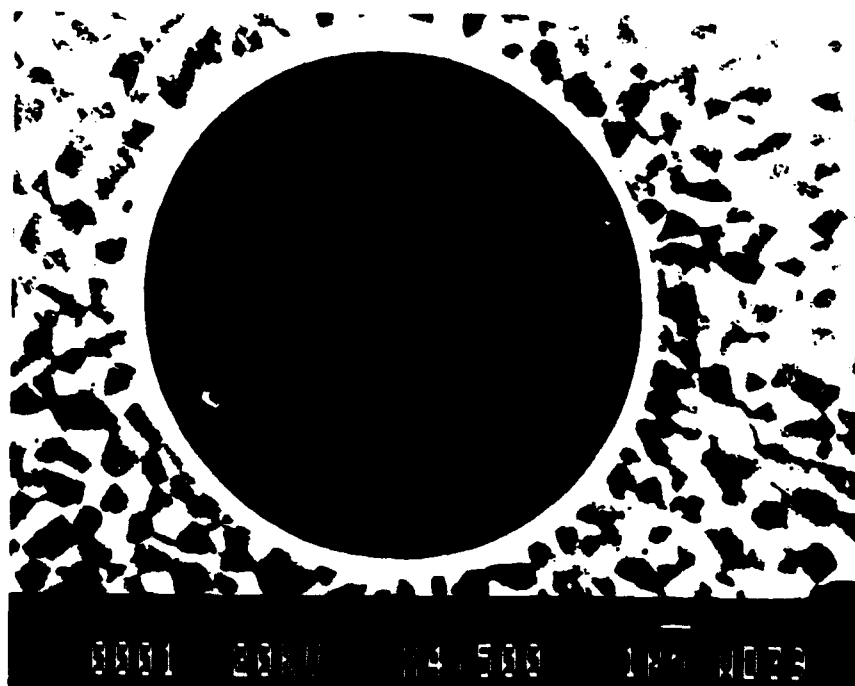


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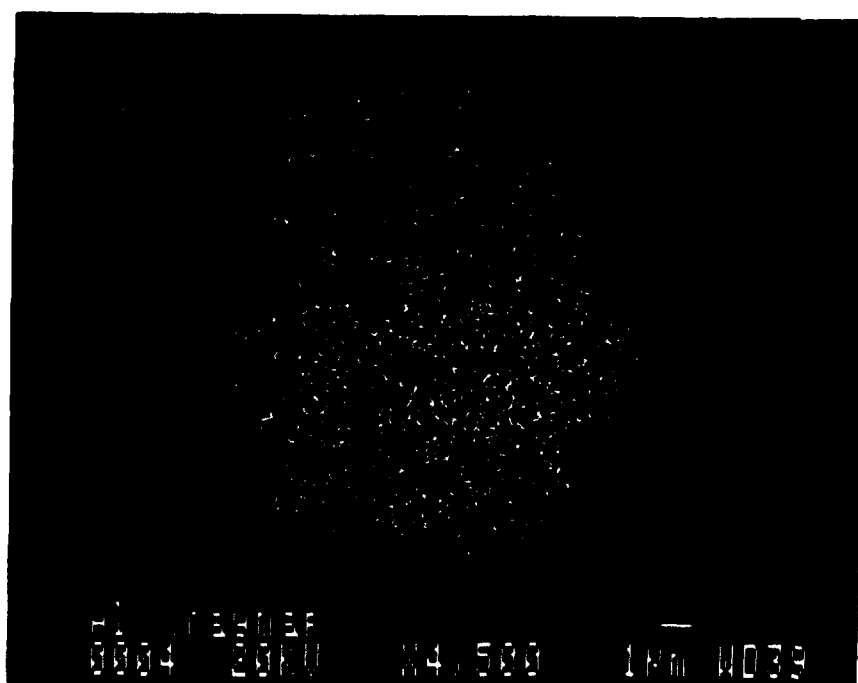


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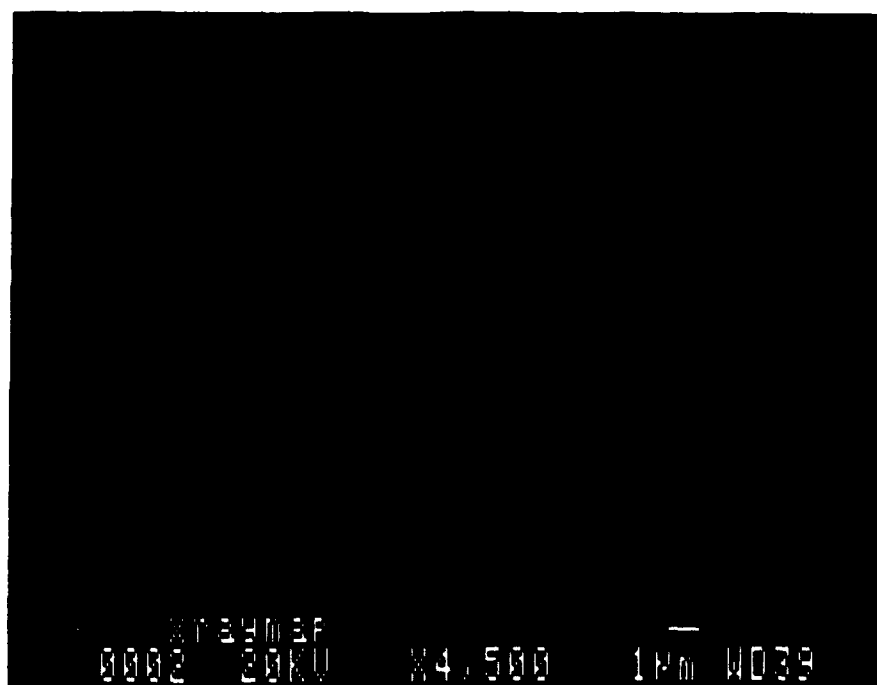




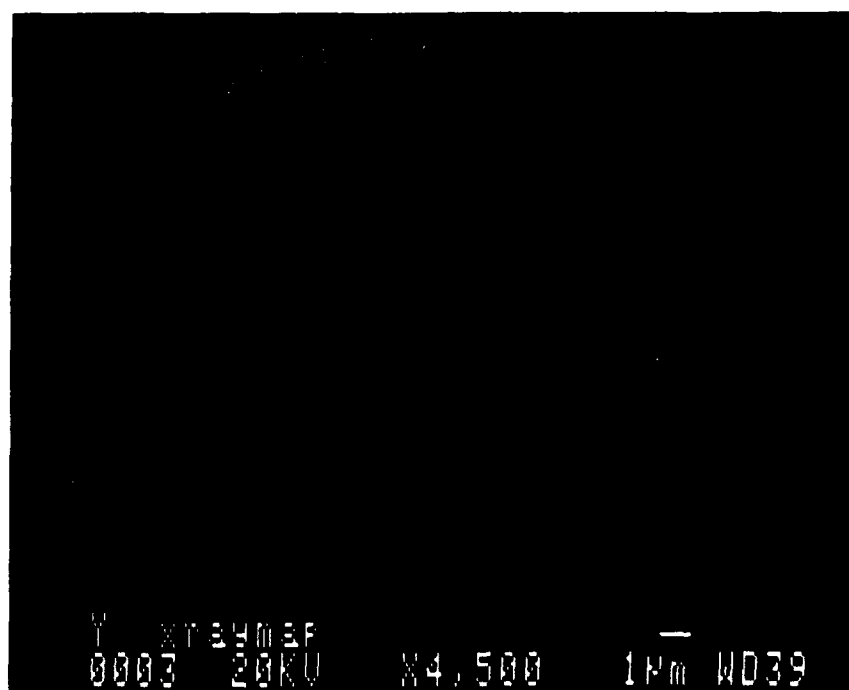
(a)



(b)



(c)



(d)



(a)



(b)